COMMISSION
OF THE
EUROPEAN COMMUNITIES

7109/VI/94-Rev. 6.cl 14.07.95

Directorate-General for Agriculture

VI B II-1

GUIDELINE DEVELOPED WITHIN THE STANDING COMMITTEE ON PLANT HEALTH WITH REGARD TO THE

APPLICABILITY OF GOOD LABORATORY PRACTICE TO DATA REQUIREMENTS ACCORDING TO ANNEXES II, PART A, AND III, PART A, OF COUNCIL DIRECTIVE 91/414/EEC

INTRODUCTION

- 1. If GLP is mentioned in the first column all tests and analyses have to be performed in accordance with the principles laid down in Council Directive 87/18/EEC. This implies also where relevant the requirements defined in the OECD GLP Consensus Documents "THE APPLICATION OF THE GLP PRINCIPLES TO SHORT-TERM STUDIES", ENVIRONMENT MONOGRAPH NO. 73, 1993, and "THE APPLICATION OF THE PRINCIPLES OF GOOD LABORATORY PRACTICE TO FIELD STUDIES".
- Where in the first column GLP* is mentioned the derogations of paragraph 2.2 of the introduction to Annex II and of paragraph 2.4 of Annex III of Council Directive 91/414/EEC, amended by Commission Directive 93/71/EEC and Commission Directive 95/35/EEC, apply.
- 3. For section 6 of Annex III (Efficacy) the provisions of points 2.2 and 2.3 of the introduction to Annex III of Council Directive 91/414/EEC, as amended by Commission Directive 93/71/EEC apply.

Generally the provisions of GLP have to be applied only where experimental data are generated.

This document gives guidance to the Member States in their requirements to applicants performing studies required under Council Directive 91/414/EEC.

ANNEX II

| | 1.1 | Applicant (name, address, etc.) |
|--------------------------|----------------------------------|---|
| | | Manufacturer (name, address, including location of plant) |
| | 1.3 | Common name proposed or ISO-accepted, and synonyms |
| | 1.4 | Chemical name (IUPAC and CA nomenclature) |
| | 1.5 | Manufacturer's development code number(s) |
| | 1.6 | CAS, EEC and CIPAC numbers (if available) |
| | 1.7 | Molecular and structural formula, molecular mass |
| | | Method of manufacture (synthesis pathway) of the active substance |
| | 1.9 | Specification of purity of the active substance in g/kg |
| | | Identity of isomers, impurities and additives (e.g. stabilizers), together with the structural formula and the content expressed as g/kg |
| GLP | 1.11 | Analytical profile of batches |
| | 2.1 | Melting point and boiling point |
| GLP GLP GLP | 2.1.1 2.1.2 2.1.3 | Melting point Boiling point The temperature of decomposition or sublimation |
| GLP | 2.2 | Relative density |
| GLP GLP | 2.3 2.3.1 2.3.2 | Vapour pressure (in Pa), volatility (e.g. Henry's law constant) Vapour pressure Volatility |
| | 2.4 2.4.1 2.4.2 | Appearance (physical state, colour and odour; if known) Colour and physical state Odour |
| | 2.5 | Spectra (UV/VIS, IR, NMR, MS), molecular extinction |
| GLP GLP GLP | 2.5.1 2.5.2 2.6 | at relevant wavelengths Spectra of active substance Spectra of impurities Solubility in water including effect of pH (4 to 10) on solubility |
| | 2.7 | Solubility in organic solvents |
| GLP | 2.8 | Partition coefficient n-octanol/water including effect of pH (4 to 10) |
| | 2.9 | Stability in water, hydrolysis rate, photochemical degradation, quantum yield and identity of breakdown product(s), dissociation constant including effect of pH (4 to 9) |
| GLP GLP GLP GLP | 2.9.1 2.9.2 2.9.3 2.9.4 | Hydrolysis rate Direct phototransformation Quantum yield of direct photodegradation in water Dissociation constant(s) (pKa values) |
| GLP | 2.10 | Stability in, air, photochemical degradation, identity of breakdown product(s) |
| | 2.11 | Flammability including auto-flammability |

| GLP | 2.11.1Flamr | |
|-----|-------------------------|---|
| GLP | 2.11.2Auto- | -flammability |
| GLP | 2.12 | Flash point |
| GLP | 2.13 | Explosive properties |
| GLP | 2.14 | Surface tension |
| GLP | 2.15 | Oxidizing properties |
| | | 3.1 Function, e.g. fungicide, herbicide, insecticide, repellant, growth regulator |
| | 3.2 | Effects on harmful organisms, e.g. contact poison, inhalation poison, stomach poison, fungitoxic or fungistatic, etc., systemic or not in plants |
| | 3.3 | Field of use envisaged, e.g. field, protected crops, storage of plant products, home gardening |
| | 3.4 | Harmful organisms controlled and crops or products protected or treated |
| | 3.5 3.6 | Mode of action Information on the occurrence or possible occurrence of the development of resistance and |
| | 3.7 | appropriate management strategies Recommended methods and precautions concerning handling, storage, transport or fire |
| GLP | 3.8 3.8.1 3.8.2 | Procedures for destruction or decontamination Controlled incineration Others |
| | 3.9 | Emergency measures in case of an accident |
| | | 4.1Analytical methods for the determination of pure active substance and, where appropriate, for relevant breakdown products, isomers and impurities of the active substance and additives (e.g. stabilizers) in the active substance as manufactured |
| | 4.2 | Analytical methods including recovery rates and the limits of determination for the determination of residues |
| | 4.2.1 4.2.2 4.2.3 | Residues in plants, plant products, foodstuffs, feedingstuffs Residues in soil Residues in water (including drinking water) |
| | 4.2.4 4.2.5 | Residues in air Residues in body fluids and tissues of animal and human |

| GLP | 5.1 <u>Studies on absorption, distribution, excretion and metabolism in mammals</u> |
|--|---|
| GLP GLP GLP GLP GLP GLP | 5.2 Acute toxicity 5.2.1 Oral 5.2.2 Percutaneous 5.2.3 Inhalation 5.2.4 Skin irritation 5.2.5 Eye irritation 5.2.6 Skin sensitization |
| GLP GLP GLP | 5.3 Short-term toxicity 5.3.1 Oral 28-day study 5.3.2 Oral 90-day study 5.3.3 Other routes |

| GLP GLP GLP | 5.4 Genotoxicity testing 5.4.1 In vitro studies 5.4.2 In vivo studies 5.4.3 In vivo studies in germ cells |
|-------------------|--|
| GLP | 5.5 Long term toxicity and carcinogenicity |
| GLP GLP | 5.6 Reproductive toxicity 5.6.1 Multi-generation studies 5.6.2 Developmental toxicity studies |
| GLP | 5.7 Delayed neurotoxicity studies |
| GLP | 5.8 Other toxicological studies 5.8.1 Toxicity studies of metabolites as referred to in the introduction point |
| GLP | 5.8.2 Supplementary studies on the active substance |
| | 5.9 Medical data 5.9.1 Medical surveillance on manufacturing plant personnel 5.9.2 Direct observation, e.g. clinical cases and poisoning incidents 5.9.3 Observations on exposure of the general population and epidemiological studies if appropriate 5.9.4 Diagnosis of poisoning (determination of active substance, metabolites), specific signs of poisoning, clinical tests 5.9.5 Deposed treatment; first aid measures, antidates |
| | 5.9.5 Proposed treatment: first aid measures, antidotes, medical treatment |
| | 5.9.6 Expected effects of poisoning |
| | Summary of mammalian toxicity and conclusion (including no observed adverse effect level (NOAEL), acceptable daily intake (ADI), acceptable operator exposure level (AOEL)) and maximum admissible concentration in water. Overall evaluation with regard to all toxicological data, and other information concerning the active substance |

| GLP | 6.1 | Metabolism, distribution and expression of residue in plants |
|------------|------|---|
| GLP | 6.2 | Metabolism, distribution and expression of residue in livestock |
| GLP* | 6.3 | Residue trials |
| GLP | 6.4 | Livestock feeding studies |
| | 6.5 | Effects of industrial processing and/or household preparations |
| GLP GLP | | Effects on the nature of the residue Effects on the residue levels |
| GLP* | 6.6 | Residues in succeeding crops |
| | 6.7 | Proposed maximum residue levels (MRLs) and justification of the acceptability of these residues. |
| | 6.8 | Proposed pre-harvest intervals for envisaged uses, or withholding periods or storage periods, in the case of post-harvest uses. |
| | 6.9 | Estimation of the potential and actual exposure through diet and other means |
| | 6.10 | Summary and evaluation of residue behaviour |
| | 7.1 | Fate and behaviour in the environment |

| GLP GLP | 7.1.1 Route and rate of degradation 7.1.1.1 Route of Degradation 7.1.1.1.1 Aerobic degradation 7.1.1.1.2 Supplementary studies |
|-------------------|---|
| GLP GLP | 7.1.1.2 Rate of degradation 7.1.1.2.1 Laboratory studies. 7.1.1.2.2 Field studies |
| GLP | 7.1.2 Adsorption and desorption |
| GLP GLP GLP | 7.1.3 Mobility in the soil 7.1.3.1 Column leaching studies 7.1.3.2 Aged residue column leaching 7.1.3.3 Lysimeter Studies or Field leaching studies |
| | 7.2 <u>Fate and behaviour in water and air</u> 7.2.1 <u>Route and rate of degradation in aquatic systems (as far</u> |
| GLP GLP | as not covered by point 2.9) 7.2.1.1 Hydrolytic degradation 7.2.1.2 Photochemical degradation 7.2.1.3 Biological degradation |
| GLP GLP GLP | 7.2.1.3.1 Ready biodegradability 7.2.1.3.2 Water/sediment study 7.2.1.4 Degradation in the saturated zone |
| GLP | 7.2.2 Rate and route of degradation in air (as far as not covered by point 2.10) |
| | 7.3 <u>Definition of the residue</u> |
| | 7.4 Monitoring data |

| | 8.1 <u>Effects on birds</u> |
|---|---|
| GLP GLP GLP | 8.1.1 Acute oral toxicity 8.1.2 Short-term dietary toxicity 8.1.3 Subchronic toxicity and reproduction |
| | 8.2 <u>Effects on aquatic organisms</u> |
| GLP | 8.2.1 Acute toxicity to fish 8.2.2 Chronic toxicity to fish 8.2.2.1 Chronic toxicity test on juvenile fish 8.2.2.2 Fish early life stage toxicity test 8.2.2.3 Fish life cycle test 8.2.3 Bioconcentration in fish 8.2.4 Acute toxicity to aquatic invertebrates 8.2.5 Chronic toxicity to aquatic invertebrates 8.2.6 Effects on algal growth 8.2.7 Effects on sediment dwelling organisms |
| GLP | 8.2.8 Aquatic plants |
| GLP* GLP* GLP* | 8.3 Effects on arthropods 8.3.1 Bees 8.3.1.1 Acute toxicity 8.3.1.2 Bee brood feeding test 8.3.2 Other arthropods |
| GLP GLP | 8.4 Effects on earthworms 8.4.1 Acute toxicity 8.4.2 Sublethal Effects |
| GLP | 8.5 Effects on soil non-target micro organisms |
| | 8.6 Effects on other non-target organisms (flora and fauna) believed to be at risk |
| GLP | 8.7 Effects on biological methods for sewage treatment |

| | 9Summary and conclusions of points 7 and 8 |
|----|--|
| 10 | Proposals for labelling |

ANNEX III

| | 1.1 | Applicant (name and address, etc.) |
|-------------------|---|--|
| | 1.2 | Manufacturer of the preparation and the active substance(s) (names and addresses, etc. including location of plants) |
| | 1.3 | Trade name or proposed trade name, and manufacturer's development code number of the preparation if appropriate |
| | 1.4Detai | iled quantitative and qualitative information on the composition of the preparation (active substance(s), and formulants). |
| | 1.5Physi | ical state and nature of the preparation (emulsifiable concentrate, wettable powder, solution etc) |
| | 1.6 | Function (herbicide, insecticide, etc.) |
| | 2.1 | Appearance (colour and odour) |
| GLP GLP | 2.2 2.2.1 2.2.2 | Explosivity and oxidizing properties Explosive properties of preparations Oxidizing properties of preparations |
| GLP | 2.3 | Flash point and other indications of flammability or spontaneous ignition |
| GLP GLP | 2.4 2.4.1 2.4.2 | Acidity / alkalinity and if necessary pH value Acidity or alkalinity and the pH value pH of a 1% aqueous dilution, emulsion or dispersion of the preparation |
| GLP GLP GLP | 2.5 2.5.1 2.5.2 2.5.3 | Viscosity and surface tension Kinematic viscosity of ULV prepararions Viscosity for non newtonian liquids Surface tension for liquid preparations |
| | 2.6 | Relative density and bulk density |
| GLP | 2.6.1 2.6.2 | Relative density of liquid preparations Bulk (tap) density of preparations which are powders or granules |
| GLP | 2.7.1 | Storage stability - stability and shelf-life: Effects of light, temperature and humidity on technical characteristics of the plant protection product Stability of the preparation after storage (GLP for chemical stability only if on the basis of theoretical considerations hazardous compounds may be formed during storage) |
| | 2.7.2 | Additionally in the case of liquid preparations, the effect of low temperatures on stability The shelf life of the preparation at ambient temperature |
| | 2.8.2 Pe 2.8.3 St 2.8.4 Di | ettability ersistent foaming aspensibility and suspension stability flution stability cry sieve test and wet sieve test |
| GLP GLP | 2.8.6 Pa 2.8.6.1 2.8.6.2 2.8.6.3 | Dust content |
| | | |

| | 2.8.7 | Emulsifiability, Re-emulsifiability, emulsion |
|-----|-----------------|--|
| | 2.8.7.1 | stability Emulsifiability, emulsion stability and re- |
| | 2.8.7.2 | emulsifiability Stability of dilute emulsions and of preparations which are emulsions |
| | 2.8.8 | Flowability, pourability (rinsability) and dustability |
| | 2.8.8.1 | Flowability of granular preparations |
| | 2.8.8.2 2.8.8.3 | <u> </u> |
| | 2.9 | Physical and chemical compatibility with other products including plant protection products with which its use is to be authorized |
| | 2.9.1 2.9.2 | Physical compatibility of tank mixes Chemical compatibility of tank mixes |
| | 2.10 | Adherence and distribution to seeds |
| | 2.11 | Summary and evaluation of data presented under points 2.1 to 2.10 |
| | 3.1 | Field of use |
| | 3.2 | Effects on harmful organisms |
| | 3.3 | Details of intended use Application rate |
| | 3.5 | Concentration of active substance in material used |
| | 3.6 | (e.g. in the diluted spray, baits or treated seed) |
| | 3.7 | Method of application Number and timing of applications and duration of protection |
| | 3.8 | Necessary waiting periods or other precautions to avoid phytotoxic effects on succeeding crops |
| | 3.9 | Proposed instructions for use |
| | 4.1 | Packaging (type, materials, size etc.), compatibility of the preparation with proposed packaging materials. |
| | 4.1.1 | Packaging |
| | 4.1.2 | The suitability of the packaging, including closures The resistance of the packaging material to its |
| | 11110 | contents |
| | 4.2 <u>Pr</u> | ocedures for cleaning application equipment |
| | 4.3 <u>Re</u> | e-entry periods, necessary waiting periods or other precautions to protect man, livestock and the |
| | 4.3.1 Wh | environment ere relevant pre-harvest intervals, re-entry periods |
| | 4.3.2 | or withholding periods Information on any specific agricul-tural, plant |
| | 4.3.2 | health or environmental conditions under which the prepara-tion may or may not be used must be provided. |
| | 4.4 | Recommended methods and precautions concerning: handling, storage, transport or fire |
| | 4.5 | Emergency measures in the case of an accident |
| | 4.6 | Procedures for destruction or decontamination of the plant protection product and its packaging |
| | 4.6.1 | Possibility of neutralization Controlled incineration |
| GLP | 4.6.3 | Others |
| | | 5.1Analytical methods for the determination of pure |
| | and. wh | active substances in the plant protection product ere appropriate, for relevant breakdown products, |
| | ana, wii | isomers and impurities of the active substance and |

| | | additives (e.g. stabilizers) and formulants |
|---|---|--|
| | 5.2 | Analytical methods for the determination of residues |
| | | 6Efficacy data (see introduction point 3) |
| GLP GLP GLP GLP GLP GLP GLP | 7.1.1 7.1.2 7.1.3 7.1.4 7.1.5 7.1.6 7.1.7 | Skin irritation |
| | 7.2 7.2.1 7.2.1.1 7.2.1.2 7.2.2 | |
| GLP GLP | 7.2.3 | Worker Exposure Estimation of worker exposure |
| GLP | 7.3 | Dermal absorption |
| GLP | 7.4 | Available toxicological data relating to non-active substances |

| GLP* | 8.1 | Residue trials |
|------------|--|--|
| GLP | 8.2 | Livestock feeding studies |
| GLP* | 8.3 | Residues in succeeding crops |
| | 8.4 | Proposed maximum residue levels (MRLs) and justification of the acceptability of these residues. |
| | 8.5 | Proposed pre-harvest intervals for envisaged uses, or withholding periods or storage periods, in the case of post-harvest uses. |
| | 8.6 through | Estimation of the potential and actual exposure diet and other means |
| | 8.7 | Summary and evaluation of residue behaviour |
| | 9.1 | Fate and behaviour in the environment |
| GLP GLP | 9.1.1 9.1.1.1 9.1.1.2 | Rate of Degradation in Soil Laboratory studies Field studies |
| GLP GLP | 9.1.2 9.1.2.1 9.1.2.2 9.1.3 9.2 9.2.1 9.2.2 9.2.3 | Mobility in the Soil Laboratory studies Lysimeter Studies or Field leaching studies Estimation of expected concentrations in soil Fate and behaviour in water Estimation of concentrations in Groundwater Impact on water treatment procedures Estimation of concentrations in Surface Water |
| GTL | | |
| GLP | 9.3 10.1 10.1.1 | Fate and behaviour in air Effects on birds Acute oral toxicity |
| GLP GLP | 10.1.2 | Supervised cage or field trials Acceptance of bait, granules, or treated seeds by |

| GLP | 1 | hirda |
|-------------------|--|--|
| GLP | 10.1.4 | birds Effects of secondary poisoning. |
| GLP | 10.1.1 | ericees of secondary poisoning. |
| GLP | 10.2 | Effects on aquatic organisms Acute toxicity to fish, aquatic invertebrates or effects on algal growth. |
| GLP | 10.2.2 | Microcosm or Mesocom study |
| GLP | 10.2.2 | Residue data in fish |
| GLP | 10.2.4 | Additional studies |
| GLP | | |
| | 10.3 | Effects on terrestrial vertebrates other than birds |
| GLP | 1.0 | |
| GLP* | 10.4 10.4.1 10.4.2 | Effects on bees Acute oral and contact toxicity Residue test |
| GLP* | 10.4.3 | Cage Tests |
| GLP* | 10.4.4 | Field tests |
| GLP* GLP* | 10.4.5 | Tunnel tests |
| GLP* | 10.5 10.5.1 10.5.2 | Effects on arthropods other than bees Laboratory, extended laboratory and semi-field tests Field tests |
| 021 | 10.0.2 | 11014 00000 |
| GLP* | | |
| GLP GLP GLP | 10.6 10.6.1 10.6.1.1 10.6.1.2 10.6.1.3 | Effects on earthworms and other soil non-target macro-organisms, believed to be at risk. Effects on earthworms Acute toxicity tests Tests for sublethal effects Field studies |
| | | |
| GLP | 10.6.2 macro-or | Effects on other soil non-target ganisms |
| GLP GLP | 10.7 10.7.1 10.7.2 | Effects on soil non-target micro-organisms Laboratory Testing Additional Testing |
| | 10.8 screenin | Available data from biological primary g in summary form |
| | 11. | SUMMARY AND EVALUATION OF SECTIONS 9 AND 10 |
| | 12. | Further Information |
| | <u> </u> | |