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Commission Notice

Technical guidance on the interpretation of points 3.6.3. to 3.6.5, and 3.8.2 of Annex II to Regulation (EC) No 1107/2009, in particular regarding the assessment of negligible exposure to an active substance in a plant protection product under realistic conditions of use

DRAFT - May 2015

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2 *This document has been conceived as a guidance document. It does not represent the official position*
3 *of the European Commission nor does it intend to be legally binding. Only the European Court of*
4 *Justice has jurisdiction to give preliminary rulings concerning the validity and interpretation of acts of*
5 *the institutions of the EU pursuant to Article 267 of the Treaty.*

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27 **1. Introduction**

28 Annex II of Regulation (EC) No 1107/2009 provides in its points 3.6.3 / 3.6.4 / 3.6.5 (human exposure)
29 and point 3.8.2 (environment), that active substances, safener or synergists, classified on the basis of
30 Regulation (EC) No 1272/2008 as carcinogen category 1A or 1B or toxic for reproduction category 1A
31 or 1B, or having endocrine disrupting properties which may cause adverse effects on humans, cannot
32 be approved "unless the exposure of humans to that active substance, safener or synergist in a plant
33 protection product, under realistic proposed conditions of use, is negligible". The purpose of this
34 document is to provide guidance regarding the interpretation of this wording, i.e. "negligible
35 exposure".

36 In particular, this guidance document describes the rationale recommended to be followed during
37 the approval/non approval decisions of active substances, safeners, and synergists under Regulation
38 (EC) No 1107/2009 concerning points 3.6.3 to 3.6.5 and 3.8.2 of Annex II. Substances approved
39 considering these provisions will be listed in accordance with Article 24 of this Regulation as
40 candidates for substitution. Therefore, they would be approved for a period not exceeding 7 years
41 (Article 24), evaluations for authorisations for plant protection products containing such active
42 substances would be subject to comparative risk assessment (Article 50)¹ and Member States may
43 derogate from mutual recognition (Article 41(2.b))².

44 When performing risk assessments in the context of Regulation (EC) No 1107/2009, applicants,
45 Member States authorities evaluating the corresponding applications, as well as the European Food
46 Safety Authority (EFSA) may refer to this guidance document in order to address in a targeted way
47 the information needs of risk managers.

48 This guidance document was adopted in accordance with Article 77 of Regulation (EC) No 1107/2009.
49 Based on discussions between experts appointed by the EU Member States a document was drafted,
50 which was further consulted with relevant stakeholders and at the Standing Committee on Plants,
51 Animals, Food and Feed.

52

¹ See also Draft Guidance document on Comparative Assessment and Substitution of Plant Protection Products in accordance with Regulation (EC) No 1107/2009/SANCO/11507/2013 rev. 12, 10 October 2014, available at http://ec.europa.eu/food/plant/pesticides/guidance_documents/docs/comparative_assessment_substitution_rev_1107-2009.pdf

² See also Guidance document on zonal evaluation and mutual recognition under Regulation (EC) No 1107/2009, SANCO/13169/2010 rev. 5, 11 March 2011.

53 2. Context and background

54 Points 3.6.3 / 3.6.4 / 3.6.5 (human exposure) of Annex II to Regulation (EC) No 1107/2009 state that
55 "[a]n active substance, safener or synergist shall only be approved, if ... it is not or has not been
56 classified, in accordance with the provisions of Regulation (EC) No 1272/2008, as carcinogen category
57 1A or 1B, [toxic for reproduction category 1A or 1B, or it is not considered to have endocrine
58 disrupting effects that may cause adverse effects in humans], unless the exposure of humans to that
59 active substance, safener or synergist in a plant protection product, under realistic proposed
60 conditions of use, is negligible, that is, the product is used in closed systems or in other conditions
61 excluding contact with humans and where residues of the active substance, safener or synergist
62 concerned on food and feed do not exceed the default value set in accordance with Article 18(1)(b) of
63 Regulation (EC) No 396/2005" (emphasis added). In addition, point 3.8.2. of the same Annex states
64 that "[a]n active substance, safener or synergist shall be approved only if ... it is not considered to
65 have endocrine disrupting properties that may cause adverse effects on non-target organisms unless
66 the exposure of non-target organisms to that active substance in a plant protection product under
67 realistic proposed conditions of use is negligible" (emphasis added).

68 The fact that Regulation (EC) No 1107/2009 is providing for the approval of active substances *if*
69 *exposure is negligible*, implies that a zero release policy is not intended by the legislator and that a
70 certain – negligible - exposure to humans or non-target organisms could be tolerated. For instance, if
71 a zero release policy would be the intention of the legislation, points 3.6.3 to 3.6.5 would not be so
72 clearly different from point 3.6.2 of the same Annex, where no reference to negligible exposure is
73 made indicating a zero release policy. This is in particular evident by the fact that residues, although
74 at the default level set in accordance with Article 18 of Regulation (EC) No. 396/2005, are allowed
75 under points 3.6.3 to 3.6.5, but not under point 3.6.2.

76 In addition, the legislation is not defining some of the qualifying terms given in relation to the
77 exposure to the active substance (i.e. 'negligible', 'closed systems' and 'excluding contact with
78 humans'). A technical interpretation of these definitions is needed, in particular under consideration
79 that some of these qualifiers are technically difficult to achieve (see Section 2.2). Also other technical
80 interpretations concerning points 3.6.3 to 3.6.5 of Annex II are given in Section 2.2.

81 As a consequence of this situation, a working definition of "negligible exposure" is proposed in this
82 guidance, which will allow implementing the provisions of Regulation (EC) No 1107/2009 in a
83 consistent way when taking decisions of approval of active substances, or when authorising plant
84 protection products.

85

86 **2.1. Approval criteria for decision making under Regulation (EC)**
87 **No 1107/2009**

88 Regulation (EC) No 1107/2009 introduced, in Article 4(1) read in combination with points 3.6.2 to
89 3.6.5 and point 3.7 of Annex II, hazard-based criteria (often called "cut-off" criteria) for the approval
90 of active substances, safeners and synergists into the authorisation procedure of plant protection
91 products in the European Union. Although these criteria are based mainly on hazard properties,
92 some of these provisions allow for the approval of active substances, safeners or synergists in case of
93 negligible exposure to these substances in a plant protection product under realistic conditions of
94 use is proven.

95 The approval criteria refer to different properties of the active substance (i.e. genotoxicity,
96 carcinogenicity, reproductive toxicity, endocrine disrupting properties that may cause adverse effects
97 on humans and/or non-target organisms, persistence in the environment, bioaccumulation) and
98 cross-reference themselves, leading to a complex decision-making scheme. Different situations
99 linked to the different chemical properties of the active substances can be distinguished, which are
100 inter-linked in a tiered way (see also Figure 1 below):

- 101 1. Substances which are classified (or are to be classified) in accordance with Regulation (EC) No
102 1272/2008 as **mutagen** category 1A or 1B (point 3.6.2 of Annex II) shall **not be approved**. The
103 same applies to substances which are considered to be **persistent organic pollutant (POP)**
104 (point 3.7.1 of Annex II), **persistent, bioaccumulative and toxic (PBT)** (point 3.7.2 of Annex
105 II), and/or **very persistent and very bioaccumulative (vPvB)** (point 3.7.3 of Annex II). Annex II
106 to Regulation (EC) No 1107/2009 does not provide for the possibility to approve these active
107 substances if negligible exposure is demonstrated.
- 108 2. Substances which are classified (or are to be classified) in accordance with Regulation (EC) No
109 1272/2008 as **carcinogen** category 1A or 1B (point 3.6.3 of Annex II), or **toxic for**
110 **reproduction category 1A or 1B** (point 3.6.4 of Annex II) can be **approved as candidates for**
111 **substitution³ provided "*negligible exposure to the active substance in a plant protection***

³ Article 24(1) of Regulation (EC) No 1107/2009 reads: "An active substance complying with the criteria provided for in Article 4 shall be approved, for a period not exceeding seven years, as a candidate for substitution if it meets one or more of the additional criteria laid down in point 4 of Annex II. By way of derogation from Article 14(2), the approval may be renewed once or more for periods not exceeding seven years." Point 4 of Annex II point out that "[a]n active substance shall be approved as a candidate for substitution pursuant to Article 24 where any of the following conditions are met:

...

- it is or is to be classified, in accordance with the provisions of Regulation (EC) No 1272/2008, as carcinogen category 1A or 1B, if the substance has not been excluded in accordance with the criteria laid down in point 3.6.3,

- it is or is to be classified, in accordance with the provisions of Regulation (EC) No 1272/2008, as toxic for reproduction category 1A or 1B if the substance has not been excluded in accordance with the criteria laid down in point 3.6.4,

— if, on the basis of the assessment of Community or internationally agreed test guidelines or other available data and information, reviewed by the Authority, it is considered to have endocrine disrupting properties that

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112 ***product under realistic conditions of use is demonstrated***, that is the product is used in
113 closed systems or in other conditions excluding contact with humans and where residues of
114 the active substance, safener or synergist concerned on food and feed do not exceed the
115 default value set in accordance with Article 18(1)(b) of Regulation (EC) No 396/2005"
116 (emphasis added). Also substances which are considered to have **endocrine disrupting**
117 **properties that may cause adverse effects in humans and/or on non-target organisms**
118 (points 3.6.5 and 3.8.2 of Annex II) can be approved as candidates for substitution provided
119 that negligible exposure under realistic conditions of use is demonstrated. For the dietary
120 route of exposure a clear reference to a default value is made in the legislation (Regulation
121 (EC) No 396/2005) as reference to exposure.

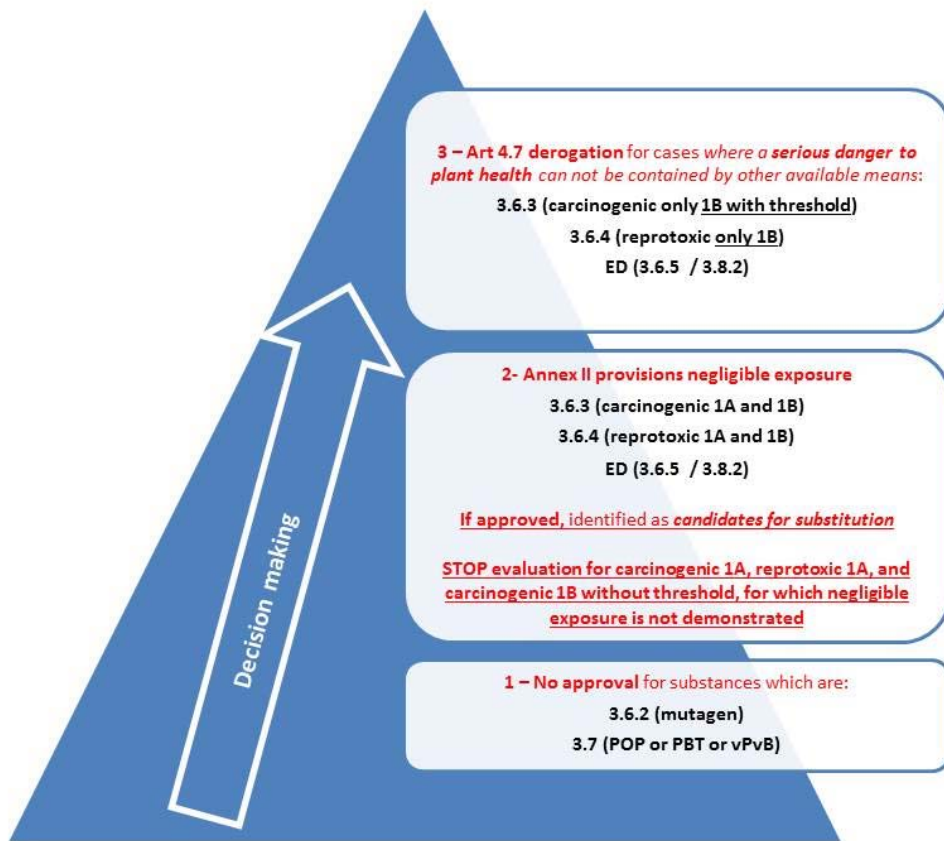
122 3. Some substances assessed under the previous point may be approved on the basis of Article
123 4(7) of Regulation (EC) No 1107/2009 "*to control a serious danger to plant health which*
124 *cannot be contained by other available means including non-chemical methods*". Such
125 derogation can be granted "*on the basis of documented evidence included in the application*"
126 and the active substance can be approved "*for a limited period necessary to control that*
127 *serious danger [to plant health] but not exceeding five years*", provided that the use of the
128 active substance is subject to risk mitigation measures to ensure that exposure of humans
129 and the environment is minimised. Derogations under Article 4.7 are not applicable to
130 substances classified (or to be classified) as carcinogens categories 1A or as 1 B without a
131 threshold, or as toxic for reproduction category 1A.

132 Derogations on the basis of Article 4(7) are mentioned here for information only as they are outside
133 the scope of this guidance document.

134

may cause adverse effects in humans if the substance has not been excluded in accordance with the criteria laid down in point 3.6.5."

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136 **Figure 1: Decision making scheme for substances with mutagenic or carcinogenic properties,**
 137 **substances which are toxic for reproduction, substances with endocrine disrupting**
 138 **properties, as well as persistent and/or bioaccumulative substances, as mentioned in**
 139 **Regulation (EC) No 1107/2009 in Article 4.1, Annex II points 3.6.2 to 3.6.5 and point 3.7,**
 140 **and Article 4.7 (which refers in addition to point 3.8.2.). Please note that Article 4.7**
 141 **derogations are not covered by this guidance document.**

142

143 ***2.2. Interpretation of terminology mentioned in Annex II, points***
 144 ***3.6.2 to 3.6.5 and 3.8.2***

145 The terms "*active substance ... [which] is ... classified*" designate a substance for which a harmonised
 146 classification is adopted under Regulation (EC) No 1272/2008 on classification, labelling and
 147 packaging of substances and mixtures and which are listed in Annex VI to that Regulation.

148 The terms "*active substance ... [which] has ... to be classified*" designate **TO BE COMPLETED**
 149 **(Discussion on-going).**

150 The terms "*active substances [which] ... is ... considered to have endocrine disrupting properties that*
151 *may cause adverse effect in humans*" and/or "*on non-target organisms*" (respectively point 3.6.5 and
152 3.8.2 of Annex II to Regulation (EC) No 1107/2009), designate substances which are identified
153 according to the interim criteria specified in point 3.6.5 of Annex II of this Regulation, pending the
154 adoption of the criteria provided for in the same Regulation.

155

156 Moreover, Regulation does not give a definition of some of the qualifying terms (i.e. 'closed systems',
157 'negligible', and 'excluding contact with humans') given in relation to negligible exposure. A
158 consideration and practical interpretation of some of these qualifying terms is presented below.

- 159
- 160 • "Closed systems": Points 3.6.3 to 3.6.5 of Annex II to Regulation (EC) No 1107/2009 points
161 out that "*the exposure ... is negligible*" when "*the [plant protection] product is used in closed*
162 *systems*". Considering human exposure, it is not possible to demonstrate 'closed systems'
163 throughout the entire life-cycle of a plant protection product. In fact, often cited examples of
164 'closed systems' relate to a certain phase in the life of a product. For instance, a bulk transfer
165 system may be perceived as 'closed' during mixing and loading but not during application; a
166 bait-box may be perceived as 'closed' during most of the use phase but release into the
167 environment can occur via secondary poisoning of predators or on disposal of the container;
168 high-tech greenhouses, usually perceived to be 'closed systems', may still result in exposure
169 of operators during mixing and loading or workers on re-entry and leakages into the
170 environment are also possible (see EFSA, 2014⁴). Even 'closed systems' supported by
171 measurements at the Limit of Detection (LOD) or Limit of Quantitation (LOQ) are not
172 synonymous with no exposure as the active substance, safener or synergist could still be
173 present at levels which can't be detected using current analytical methods. For these reasons
174 the following definition is considered appropriate: '*Equipment and procedures designed to*
175 *reduce as far as technically possible the escape of an active substance, safener or synergist*
into the environment either during or after the use of the plant protection product.'
 - 176 • 'Negligible': 'Negligible' is not equal to zero (see also Section 2) and is defined in the Oxford
177 English Dictionary as "so small or unimportant as to be not worth considering; insignificant".
178 For risk assessment purposes 'negligible' can be considered to be a level so small that it does
179 not appreciably add to the risk and can safely be ignored.
 - 180 • "Excluding contact with humans": The legislator clearly set provisions regarding dietary
181 exposure when stating "*... where residues of the active substance, safener or synergist*
182 *concerned on food and feed do not exceed the default value set in accordance with Article*

⁴ EFSA Guidance Document on clustering and ranking of emissions of active substances of plant protection products and transformation products of these active substances from protected crops (greenhouses and crops grown under cover) to relevant environmental compartments. EFSA Journal 2014;12(3):3615, 43 pp., doi:10.2903/j.efsa.2014.3615

183 *18(1)(b) of Regulation (EC) No 396/2005*" (emphasis added). However, in the context of non-
184 dietary exposure Regulation (EC) No 1107/2009 does not give a clear indication concerning
185 the scope of the non-dietary risk assessment and clarifications are needed for consistency, in
186 particular because it is impossible to demonstrate the existence of "closed systems" (see
187 previous paragraph). Therefore, it is necessary to assess if exposure is negligible in the
188 context of non-dietary exposure. For the purposes of the human exposure non-dietary risk
189 assessment related to different human populations need to be considered (i.e. operators,
190 bystanders/residents and workers coming into contact with the concentrate or diluted
191 product and/or surface residues). In addition, exposure to be assessed is considered to relate
192 to direct human contact via all relevant routes of exposure (dermal, inhalation and oral).
193 Human contact may arise, albeit at very low levels, from the subsequent transport and
194 degradation of the active substance, safener or synergist in the environment (e.g.
195 contamination of groundwater, long range transport in air or via the food chain) but is
196 considered outside the scope of the non-dietary risk assessment.

197

198 ***2.3. Classifications under Regulation (EC) No 1272/2008:*** 199 ***relevant routes of exposure and classification of mixtures in the*** 200 ***context of the approval criteria***

201 The provisions of Annex II, points 3.6.3 to 3.6.5, to Regulation (EC) No 1107/2009 are based on the
202 classifications that the substances subject to the assessment under Regulation (EC) No 1107/2009
203 could receive in a separate assessment process conducted pursuant to Regulation (EC) No 1272/2008
204 (carcinogenic 1A, 1B, 2; toxic for reproduction 1A, 1B, 2).

205 Annex I of Regulation (EC) No 1272/2008 gives technical details regarding the classification of 1)
206 hazardous substances and 2) mixtures which contain classified hazardous substance, in particular:

- 207 • Some substances are only classified (i.e. hazardous) for certain routes of exposure provided
208 enough evidence is available during the classification process (see Table 3.6.3 and 3.7.3 of
209 Annex I of Regulation (EC) No 1272/2008). The relevance of these non-relevant routes of
210 exposure for the assessment of approval criteria under Regulation (EC) No 1107/2009 might
211 be considered on a case by case basis.
- 212 • Under Regulation (EC) No 1272/2008, mixtures containing classified substances are not
213 classified as hazardous under certain conditions specified in Annex I (e.g. when the
214 concentration of a substance classified as "carcinogenic category 1B with a threshold" is
215 lower than 0.1 %, see also Tables 3.6.2 and 3.7.2 of Annex I).

216 Negligible exposure to an active substance in a plant protection product under realistic conditions of
217 use would need to be proven in any case for substances falling under Regulation (EC) No 1107/2009
218 in Annex II points 3.6.3 to 3.6.5.

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220 **3. Negligible exposure to humans**

221 On the basis of the fact that negligible exposure does not equal zero (see also Section 2), procedures
222 need to be set in order to ensure consistency during the decision making regarding approval / non
223 approval of active substances under Regulation (EC) No 1107/2009, which differ between the dietary
224 and non-dietary routes of exposure.

225

226 **3.1. Dietary exposure**

227 The legislator set clear provisions regarding dietary exposure when stating in points 3.6.3, 3.6.4, 3.6.5
228 of Annex II to Regulation (EC) No 1107/2009 that exposure is negligible "*where residues of the active*
229 *substance, safener or synergist concerned on food and feed do not exceed the default value set in*
230 *accordance with Article 18(1)(b) of Regulation (EC) No 396/2005". The default value is initially set at*
231 *0.01 mg/kg and shall not be exceeded, but it might be changed to the LOQ according to Article*
232 *18(1)(b) of Regulation (EC) No 396/2005. It is recommended to ensure the validated analytical*
233 *methods are available for at least the four main plant matrix groups: dry (high protein/ high starch*
234 *content), high water, high oil, and high acidic content (SANCO/825/00 rev 8.1; 16/11/2010).*

235 The condition set in points 3.6.3, 3.6.4, 3.6.5 of Annex II to Regulation (EC) of 1107/2009 does not
236 prejudice the application of Regulation (EC) No 396/2005, in particular the verification of the safety of
237 these default maximum residue limit (MRLs) following the normal agreed procedures.

238

239 **3.2. Non-dietary exposure**

240 All non-dietary exposure groups (operators, workers, bystanders and residents) included in the EFSA
241 Guidance Document on assessment of exposure (EFSA, 2014⁵) need to be considered. This Guidance
242 Document is providing a harmonised risk assessment and a calculation tool covering these groups.
243 The application methods and exposure scenarios not included in the EFSA guidance document would
244 need to be considered on a case by case basis and supported by a robust scientific case and/or data,
245 including if applicable exposure studies.

246 In order to address non-dietary routes of exposure, two aspects are to be considered:

⁵ EFSA (European Food Safety Authority), 2014. Guidance on the assessment of exposure of operators, workers, residents and bystanders in risk assessment for plant protection products. EFSA Journal 2014;12(10):3874, 55 pp., doi:10.2903/j.efsa.2014.3874

247 1) Available risk mitigation measures should be applied for the proposed uses of the
248 plant protection product, with the aim to minimise exposure of humans to the
249 active substance as much as technically possible;

250 2) A decision making framework, which includes risk calculations and consideration of
251 exposure studies if applicable, is needed in order to verify if the scenarios of use
252 proposed are leading to negligible exposure.

253 These two aspects are explained in further details in the subsections below.

254

255 **3.2.1. Measures to achieve negligible exposure**

256 The measures taken to minimise exposure of humans to the active substance as much as technically
257 possible shall consider all relevant routes of exposure for the different exposed groups, i.e.
258 operators, workers, bystanders and residents.

259 In general terms, in order to minimise exposure, it is recommended only to authorise professional
260 uses of plant protection products containing active substances with properties falling under the
261 criteria laid down in points 3.6.3, 3.6.4 and/or 3.6.5. A non-exhaustive list of risk mitigation measures
262 which contribute to achieve reduced exposure of humans to PPP during the different use-phases
263 (such as mixing/loading, cleaning, application) is provided for in the Annex to this guidance
264 document.

265 Applicants are expected to provide details of the relevant risk mitigation measure(s) available to
266 reduce exposure as far as possible below the stated threshold (see below).

267

268 **3.2.2. How to verify that exposure is negligible?**

269 Non-dietary negligible exposure can be assumed where levels to which humans are exposed are
270 equal to or lower than natural background levels in the environment, i.e. excluding background levels
271 which have been increased during time by anthropogenic activities and/or which are considered to
272 be a concern.

273 As stated above in Section 3.2 of this guidance document, for decision-making under consideration of
274 negligible exposure under realistic proposed conditions of use, in a 1st tier, the EFSA guidance on
275 exposure assessment (EFSA, 2014) including, where applicable, the calculator developed by EFSA,
276 should be used in order to allow a harmonised risk assessment . An additional and protective

277 "threshold" to the relevant toxicological reference value (e.g. AOEL) is set. The level of this additional
278 safety has been decided by risk managers to be **XXX**.⁶

279 As a 2nd tier, the Margin of Exposure to the study critical for the relevant classification for under
280 Regulation (EC) No 1272/2008 could be applied. As Margin of Exposure the ratio of the no-observed-
281 adverse effect level (NOAEL) for the critical effect (e.g. carcinogenicity or reproduction toxicity),
282 corrected for oral absorption to ensure systemic exposure, to the estimated or actual exposure
283 should be considered. For the purpose of demonstrating negligible exposure, a sufficient safety
284 margin (at least 1000) is necessary. Further guidance on this 2nd tier approach may need to be
285 developed in future.

286 The rationale behind this 2nd tier approach is that there is often a higher margin of safety than the
287 standard factor of 100 when comparing the NOAEL from the study critical for classification for
288 carcinogenicity or reproduction toxicity (fertility or development) under Regulation (EC) No
289 1272/2008 and the toxicological reference values set under Regulation (EC) No 1107/2009 (e.g.
290 acute reference dose (ARfD), acceptable operator exposure level (AOEL), or Acceptable daily intake
291 (ADI)), resulting in higher margins of safety which may differ between active substances.

292

293 **4. Negligible exposure to non-target organisms in the** 294 **environment**

295 According to Regulation (EC) No 1107/2009 negligible exposure to non-target organisms in the
296 environment needs to be defined only for substances considered to have endocrine disruptors (cf.
297 point 3.8.2 of Annex II to this Regulation).

298 In general terms, for environmental risk assessments the protection goals of the legislator are
299 recommended to be set at population level in accordance with EFSA (EFSA, 2010⁷). This approach
300 would imply that an exposure assessment would need to consider a temporal and geographical scale
301 which is according to a population level protection goal (EFSA, 2010).

302 This section is expected to be further detailed at later versions of the guidance document.

303

⁶ Applying this concept implies accepting the concept of threshold and of lead toxicity. In cases new development in science are available, the method used or the threshold set may be revised.

⁷ EFSA 2010. Scientific Opinion on the development of specific protection goal options for environmental risk assessment of pesticides, in particular in relation to the revision of the Guidance Documents on Aquatic and Terrestrial Ecotoxicology (SANCO/3268/2001 and SANCO/10329/2002). EFSA Journal 2010;8(10):1821. [55 pp.] doi:10.2903/j.efsa.2010.1821. Available online: www.efsa.europa.eu/efsajournal.htm

304 **5. Decision making process including conditions of approval**

305 Regarding dietary exposure, Annex II to Regulation (EC) No 1107/2009 (points 3.6.2 to 3.6.5) specifies
306 the conditions under which negligible exposure is assumed (see Section 3.1 above for more details).
307 Since these conditions are a precondition for approval of substances in accordance with Article 4 of
308 the Regulation read in combination with these points, it is recommended to assess them in the first
309 instance before proceeding with the assessment of non-dietary exposure routes. If these conditions
310 are not met, derogation can be granted on the basis of Article 4(7) of Regulation (EC) No 1107/2009
311 provided that the requisites laid down in this Article are met.

312 In the case the assessment of the application for approval of the substance fulfilling the properties
313 mentioned before (carcinogenic category 1A or 1B, toxic for reproduction category 1A or 1B,
314 endocrine disrupting properties) concludes that there is negligible exposure (points 3.6.3 / 3.6.4 /
315 3.6.5 of Annex II of Regulation (EC) No 1107/2009), the substance will be approved as a candidate for
316 substitution in accordance with Article 24 of Regulation (EC) No 1107/2009 read in combination with
317 point 4 of Annex II of Regulation (EC) No 1107/2009. In addition, among the conditions and
318 restrictions of Article 6 of Regulation (EC) No 1107/2009, the Regulation approving (or renewing the
319 approval of) the active the substance have to specify that negligible exposure have to be
320 demonstrate for any new use of a plant protection product containing this substance. Furthermore,
321 restrictions on the use scenarios of a product where exposure has been demonstrated negligible
322 might be considered (Article 6 of Regulation (EC) No 1107/2009). For instance, in general only
323 professional uses of PPPs containing active substances with properties falling under points 3.6.3,
324 3.6.4, and/or 3.6.5 should be authorised. These measures will contribute to ensure that human
325 exposure is as at the lowest level that can be achieved based on the available technologies.

326

327

328 **6. Annex: non exhaustive list of professional use risk**
329 **mitigation measures which contribute to reduce exposure**
330 **of humans to PPPs**

331 This Annex provides a non-exhaustive list of risk mitigation measures relevant for professional use of
332 PPPs, which may contribute to reduce exposure of humans to plant protection products during the
333 different use-phases.

334 The listed examples provide for a variable reduction in exposure during the different phases of the
335 use of a plant protection product. The different measures may be combined for developing
336 representative use scenarios, which may facilitate negligible exposure under realistic conditions of
337 use. In any case, risk calculations supported by data and consideration of exposure studies if
338 applicable, are needed in order to demonstrate that exposure is reduced and to verify if the
339 scenarios of use proposed are leading to negligible exposure.

340 Personal protection equipment (PPE) may be considered when proposing representative use
341 scenarios. However, it should be noted that the availability of appropriate PPE, the level of training of
342 operators in their correct use, maintenance and replacement is likely to vary between European
343 Member States. As a consequence Member States may implement different approaches regarding
344 this risk mitigation option when considering the authorization of plant protection products at a
345 national level.

346 Further, it needs to be noted that the availability of data which supports the different scenarios
347 varies as summarized below, referring to EU-wide accepted data.

- 348
- A: No data available
 - 349 • B: Exposure assumed to be low. Quantitative data will need to be provided.
 - 350 • C: Some quantitative data available
 - 351 • D: Quantitative data available
 - 352 • E: No exposure expected if completely automated but no data on e.g. cleaning &
353 maintenance available

354

355

356 **Operators**

- 357 ○ Mix/loading:
- 358 ▪ baits (ready to use)^B
 - 359 ▪ water-soluble packages^B
 - 360 ▪ closed transfer systems (liquids) - equipment designed and manufactured to
 - 361 be used to move agricultural chemicals from their original container into a
 - 362 sprayer tank, and to accurately measure the volume of chemical being
 - 363 transferred with compatible packaging^B
 - 364 ▪ closed transfer systems (solids and liquids)– container designed to be
 - 365 attached directly to the application device where measuring of dose is
 - 366 integral to the application device^B
 - 367 ▪ packaging modifications (e.g. removal of secondary foil seal, integral
 - 368 measuring systems such as ‘squeeze to fill’)^A
 - 369 ▪ maximize filler opening and stability of application equipment when placed
 - 370 on the ground (e.g. some backpacks for use with spot guns or CDA lances)^A
 - 371 ▪ induction, stirring or recirculating systems which avoid foaming^A
 - 372 ▪ use of stationary LEV (local exhaust ventilation) systems in indoor situations^A
 - 373
 - 374 ○ Application
 - 375 ▪ baits (ready to use and pre-prepared disposable bait stations)^B
 - 376 ▪ automatic application systems (e.g. gantry sprayers or misting equipment in
 - 377 glasshouses, automated dipping or drenching equipment)^E
 - 378 ▪ minimized run-off from treated material (e.g. electrostatic spraying booth for
 - 379 forestry transplants or foam treatment equipment for onion sets)^A
 - 380 ▪ closed cabins, self-flushing filters, hydraulically operated boom, built in tank
 - 381 washing systems^C
 - 382 ▪ drift reduction technology including ‘low drift’ nozzles^D
 - 383 ▪ direct injection systems (e.g. tree injection)^A
 - 384 ▪ in-furrow application^A
 - 385 ▪ use of LEV (local exhaust ventilation) systems in indoor situations^A
 - 386
 - 387 ○ Cleaning
 - 388 ▪ self-cleaning systems^A
 - 389 ▪ baits (ready to use, pre-prepared disposable bait stations)^A
 - 390

391

392 **Workers**

- 393 ○ baits (well concealed and protected)^B
- 394 ○ pre-emergence application^B
- 395 ○ direct injection systems (e.g. tree injection)^B
- 396 ○ restricted re-entry intervals / waiting periods^C
- 397 ○ mechanically harvested crops and automated sorting/grading devices^B
- 398 ○ automated bagging and loading^B

399

400 **Bystanders and residents**

- 401 ○ enclosed treatments (e.g. glasshouses and grain stores where minimal venting and
- 402 leakage can be attained)^C (env. data available, to be adjusted for bystanders/residents)
- 403 ○ baits (well concealed and protected)^B
- 404 ○ direct injection systems (e.g. tree injection)^B
- 405 ○ drift reducing nozzles (e.g. twin-fluid nozzles, air-induction nozzles, pre-orifice
- 406 nozzles)^D
- 407 ○ drift reducing pesticide application equipment (e.g. rotary atomizers, air assistance
- 408 for field crop sprayers, shrouded boom sprayers for sports turf and other amenity
- 409 areas, recirculating tunnel sprayers for spraying fruit bushes and trees)^A
- 410 ○ lowest possible boom height, forward speed and spray pressure^A
- 411 ○ deflectors on vacuum pneumatic seed drills and other devices designed to reduce
- 412 dust drift.^B