

Application for renewal of the authorisation for foods and food ingredients/feed containing, consisting of, or produced from MON 88017 × MON 810 maize and products other than food and feed containing or consisting of MON 88017 × MON 810 maize under the Regulation (EC) No 1829/2003 (Commission Decision 2010/429/EU of 28 July 2010)

POST-MARKET ENVIRONMENTAL MONITORING PLAN FOR MON 88017 × MON 810
CONFORMING WITH ANNEX VII TO DIRECTIVE 2001/18/EC

Data protection.

This application contains scientific data and other information which are protected in accordance with Art. 31 of Regulation (EC) No 1829/2003.

© 2019 Bayer Group. All Rights Reserved.

This document is protected under national and international copyright law and treaties. This document and any accompanying material are for use only by the regulatory authority to which it has been submitted by Monsanto Company and its affiliates, collectively “Bayer Group”, and only in support of actions requested by Bayer Group. Any other use, copying, or transmission, including internet posting or scientific peer-reviewed publications, of this document and the materials described in or accompanying this document, without prior consent of Monsanto Company, is strictly prohibited; except that Monsanto Company hereby grants such consent to the regulatory authority where required under applicable law or regulation. The intellectual property, information and materials described in or accompanying this document are owned by Bayer Group, which has filed for or been granted patents on those materials. By submitting this document and any accompanying materials, Monsanto Company and the Bayer Group do not grant any party or entity any right or license to the information, material or intellectual property described or contained in this submission.

1. GENERAL

As required by Article 5(5)(b) and 17(5)(b) of Regulation (EC) No. 1829/2003 the proposed Post-Market Environmental Monitoring (PMEM) plan for MON 88017 × MON 810 has been developed according to the principles and objectives outlined in Annex VII of Directive 2001/18/EC and Decision 2009/814/EC establishing guidance notes supplementing Annex VII to Directive 2001/18/EC. The PMEM also takes into account the Scientific Opinion on guidance on the Post-Market Environmental Monitoring of genetically modified plants¹.

2. INTERPLAY BETWEEN ENVIRONMENTAL RISK ASSESSMENT AND MONITORING

This application is for the renewal of the authorisation for foods and food ingredients/feed containing, consisting of, or produced from MON 88017 × MON 810 maize and products other than food and feed containing or consisting of MON 88017 × MON 810 maize in the European Union (EU) under Regulation (EC) No. 1829/2003. The scope of the renewal application does not include authorisation for the cultivation of MON 88017 × MON 810 seed products in the EU.

An environmental risk assessment (e.r.a.) was carried out for MON 88017 × MON 810 according to the principles laid down in Annex II to Directive 2001/18/EC and Decision 2009/814/EC establishing guidance notes supplementing Annex II to Directive 2001/18/EC. The scientific evaluation of the characteristics of MON 88017 × MON 810 in the e.r.a. has shown that the risk for potential adverse effects on human and animal health or the environment is negligible in the context of the intended uses of MON 88017 × MON 810.

3. CASE-SPECIFIC GM PLANT MONITORING

As discussed in Section 2, the scientific evaluation of the characteristics of MON 88017 × MON 810 in the e.r.a. has shown that the risk for potential adverse effects on human and animal health or the environment is negligible in the context of the intended uses of MON 88017 × MON 810. It is therefore considered that there is no need for case-specific monitoring.

4. GENERAL SURVEILLANCE FOR UNANTICIPATED ADVERSE EFFECTS

4.1. Approach

General surveillance is not based on a particular hypothesis and it should be used to identify the occurrence of unanticipated adverse effects of the viable GMO or its use for human and animal health or the environment that were not predicted in the e.r.a.

The scope of this renewal application is the authorisation for products containing, consisting of or produced from MON 88017 × MON 810 maize. The scope of the renewal application does not include authorisation for the cultivation of MON 88017 × MON 810 seed products.

Therefore, exposure to the environment will be limited to unintended release of MON 88017 × MON 810, which could occur for example via substantial losses during loading/unloading of the viable commodity including MON 88017 × MON 810 destined for processing into animal feed or human food products. Such exposure can be controlled by clean up measures

¹ EFSA Panel on GMO; Scientific Opinion on guidance on the Post-Market Environmental Monitoring (PMEM) of genetically modified plants. EFSA Journal 2011;9(8):2316. [40 pp.] doi:10.2903/j.efsa.2011.2316. Available online: www.efsa.europa.eu/efsajournal - Accessed on 24 June 2019.

and the application of current practices used for the control of any adventitious maize plants, such as manual or mechanical removal and the application of herbicides (with the exception of glyphosate based herbicide).

However and in order to safeguard against any adverse effects on human and animal health or the environment that were not anticipated in the e.r.a., general surveillance on MON 88017 × MON 810 will be undertaken for the duration of the authorisation. The general surveillance will take into consideration, and be proportionate to, the extent of imports of MON 88017 × MON 810 and use thereof in the Member States.

In order to increase the possibility of detecting any unanticipated adverse effects, a monitoring system will be used, which involves the authorisation holder and operators handling and using viable MON 88017 × MON 810. The operators shall be provided with guidance to facilitate reporting of any unanticipated adverse effect from handling and use of viable MON 88017 × MON 810.

A detailed description of the methodology proposed for general surveillance of MON 88017 × MON 810 is provided in Section 4.6.

4.2. Baselines

Since the intended use of MON 88017 × MON 810 is the same as that of any other commercial maize, the procedures for the import, handling and processing of MON 88017 × MON 810 will be the same and have been considered in the development of the PMEM plan. The baseline and controls for general surveillance will rely on the historical knowledge and experience with non-GM maize as comparable reference where necessary.

4.3. Time period

General surveillance of MON 88017 × MON 810 shall be undertaken for the duration of the authorisation period for import and processing.

4.4. Assigning responsibilities

The authorisation holder is responsible for ensuring that the monitoring plan is put in place and properly implemented in accordance with the conditions of the authorisation.

The authorisation holder shall be in the position to give evidence to the Commission and the competent authorities of the Member States:

- That the monitoring networks as specified in the monitoring plan collect the information relevant for the monitoring of MON 88017 × MON 810.
- That the members of these networks have agreed to make available that information to the authorisation holder before the date of the submission of the monitoring report.

The third parties involved in the general surveillance will report any potential unanticipated adverse effects to the authorisation holder, who shall immediately investigate and inform the European Commission in accordance with Regulation (EC) No 1829/2003, as described in Section 5.

4.5. Existing systems

Primary sources of information

The authorisation holder is not involved in commodity trade with MON 88017 × MON 810. The monitoring methodology hence needs to be predominantly based on collaboration with third parties, such as operators involved in the import, handling and processing of viable MON 88017 × MON 810. They are exposed to the imported viable MON 88017 × MON 810

and therefore are the best placed to observe and report any unanticipated adverse effects in the framework of their routine surveillance of the commodities they handle and use.

The routine surveillance is based on the HACCP principles as reflected on the website of the trade associations representing the operators involved in the PMEM (*see below*).

Since traders may commingle MON 88017 × MON 810 with other commercial maize, including authorised GM maize, the authorisation holder is working together with other members of the plant biotechnology industry within the European Association of Bioindustries (EuropaBio) and trade associations representing the relevant operators in order to implement a harmonised monitoring methodology.

The following networks are currently involved:

⇒ *Importers / Traders*

COCERAL is the European association of trade in cereals, rice, feedstuffs, oilseeds, olive oil, oils and fats and agrosupply. It represents the interests of the European collectors, traders, importers, exporters and port silo storekeepers of the above mentioned agricultural products. The main importers of cereals and feedstuffs into the EU are members of COCERAL.

Also see: <http://www.coceral.com/> - Accessed on 24 June 2019

⇒ *Silo operators*

UNISTOCK is the European association representing professional storekeepers for agribulk commodities in the EU. UNISTOCK full and extraordinary members are present in twelve countries and UNISTOCK is itself a full member of COCERAL. Commodity imports enter the EU by sea and transit through sea-port silos. The main storekeepers managing these silos are members of UNISTOCK.

Also see: <http://www.unistock.be/> - Accessed on 24 June 2019

⇒ *Processors*

FEDIOL, the federation of the EU vegetable Oil and Protein Meal Industry, represents the interests of the European crushers of oilseed meal producers and vegetable oil producers/processors. Its members represent 85% of the EU industry and hold 180 oilseeds processing and vegetable oils and fats production facilities across Europe.

Also see: <http://www.fediol.eu/> - Accessed on 24 June 2019

These associations represent the majority of European operators importing, handling and processing viable maize commodity. They work closely together with a continuous and efficient flow of communication between them, particularly, through the documentation that needs to accompany any shipment containing GMOs in accordance with the labelling and traceability requirements of Regulation (EC) No 1831/2003, and are therefore best placed to observe and report any unanticipated adverse effects.

Other networks consisting of operators further down the food and feed chain have not been selected for the general surveillance of viable MON 88017 × MON 810, because they focus on processed, non-viable material.

Additional sources of information

In addition to the aforementioned existing monitoring systems, extensive independent research by scientists with a wide range of expertise is another valuable source of information on potential adverse effects arising from the use of GMOs. The authorisation holder will

actively screen relevant reports and peer-reviewed publications on the use of MON 88017 × MON 810, in order to identify potential unforeseen adverse effects linked to MON 88017 × MON 810.

4.6. Monitoring methodology

The authorisation holder, together with other members of the plant biotechnology industry and EuropaBio, shall implement general surveillance of viable GM maize, including MON 88017 × MON 810, with the help of the selected networks described in Section 4.5.

The different parties agreed on a general framework for monitoring of GMOs, including MON 88017 × MON 810, as follows:

- ❖ The authorisation holder represented by EuropaBio will:
 - Agree with the operators before adding or amending activities that fall under their responsibility in accordance with the proposed PMEM plan.
 - Inform operators concerning the authorisation, safety and general characteristics of MON 88017 × MON 810 and of the conditions as to general surveillance.
 - Set up and maintain a website dedicated to operators including detailed information on MON 88017 × MON 810. The website, hosted on the EuropaBio website under <http://www.europabio.org/agricultural-biotech/trade-and-approvals/operators-product-information> (Accessed on 24 June 2019), contains the following information:
 - An introduction to the purpose of the website.
 - A table giving an overview of all currently approved GM plant products subject to general surveillance.
 - A profile for every approved GM plant product providing documentation on characteristics and safety, positive EFSA opinion(s) and Commission Decision(s) authorising the GM plant product in the EU.
 - A contact point at EuropaBio for information exchange on any of the GM plant products.
- The website shall be regularly updated in order to further facilitate and ensure a transparent process for general surveillance and easy access to relevant information for operators.
- Contact the selected networks of operators annually reminding them of their agreement to report on any unanticipated adverse effects (or absence thereof).
- ❖ The selected networks of operators (European trade associations) shall:
 - Inform and remind their member organisations and companies on an annual basis:
 - To monitor for potential unanticipated adverse effects.
 - That, in the framework of their management or safety standards (ISO, HACCP, ...), procedures must be in place and implemented to limit losses and spillage of viable maize and to routinely eradicate adventitious populations on their premises – any such adventitious populations, resisting routine eradication procedures, shall be treated as potential adverse effects.
 - To inform and remind their own member companies of this requirement.
 - To report back any adverse effect reported to them to the European trade associations.
 - Report to the authorisation holders directly or via EuropaBio:
 - At least annually, regardless of whether an adverse effect was observed or not.
 - Immediately any adverse effects reported to them.

Consequently, the European trade associations COCERAL, UNISTOCK and FEDIOL shall notify EuropaBio of the results of the general surveillance on an annual basis. EuropaBio will forward this report to the respective authorisation holders for inclusion in their annual report to the European Commission, as described in Section 5.

The general surveillance information reported to and collected by the authorisation holder from the European trade associations or other sources shall be analysed for its relevance. Where information indicates the possibility of an unanticipated adverse effect, the authorisation holder shall immediately investigate to determine and confirm whether a significant correlation between the effect and MON 88017 × MON 810 can be established. If the investigation establishes that MON 88017 × MON 810 was present when the adverse effect was identified, and confirms that MON 88017 × MON 810 is the cause of the adverse effect, the authorisation holder will immediately inform the European Commission, as described in Section 5.

5. REPORTING THE RESULTS OF MONITORING

In accordance with Regulation (EC) No 1829/2003, the authorisation holder is responsible to inform the European Commission of the results of the general surveillance.

If information that confirms an adverse effect of MON 88017 × MON 810 and that alters the existing risk assessment becomes available, the authorisation holder will immediately investigate and inform the European Commission. The authorisation holder, in collaboration with the European Commission and based on a scientific evaluation of the potential consequences of the observed adverse effect, shall define and implement management measures to protect human and animal health or the environment, as necessary. It is important that the remedial action is proportionate to the significance of the confirmed effect.

The authorisation holder will submit an annual monitoring report including results of the general surveillance in accordance with the conditions of the authorisation. The report shall contain information on any unanticipated adverse effects that have arisen from handling and use of viable MON 88017 × MON 810.

The report will include a scientific evaluation of the confirmed adverse effect, a conclusion of the safety of MON 88017 × MON 810 and, as appropriate, the measures that were taken to ensure the safety of human and animal health or the environment.

The report will also clearly state which parts of the provided information are considered to be confidential, together with a verifiable justification for confidentiality in accordance with Article 30 of Regulation (EC) No 1829/2003. Confidential parts of such report shall be submitted in separate documents.

6. REVIEW AND ADAPTATION

The PMEM plan and associated methodology will be reviewed and updated or adapted as necessary.