

Report on
the Assessment of
the Geographical BSE-Risk
(GBR) of
THE UNITED KINGDOM
July 2000

NOTE TO THE READER

Independent experts have produced this report, applying an innovative methodology by a complex process to data that were voluntarily supplied by the responsible country authorities. Both, the methodology and the process are described in detail in the final opinion of the SSC on "the Geographical Risk of Bovine Spongiform Encephalopathy (GBR)", 6 July 2000. This opinion is available at the following Internet address:

<http://europa.eu.int/comm/food/fs/sc/ssc/out113_en.pdf>

In order to understand the rationale of the report leading to its conclusions and the terminology used in the report, it is highly advisable to have read the opinion before reading the report. The opinion also provides an overview of the assessments for another 24 countries.

P A R T I

Description of the method and its
limitations, and definitions and
process used for assessing the GBR
of THE UNITED KINGDOM

1. INTRODUCTION

The Geographical BSE-Risk (GBR) is a qualitative indicator of the likelihood of the presence of one or more cattle being infected with BSE (Bovine Spongiforme Encephalopathy), pre-clinically as well as clinically, at a given point in time, in a country. Where its presence is confirmed, the GBR gives an indication of the level of infection.

This opinion describes a transparent methodology that the Scientific Steering Committee (SSC) has developed, over about two years, to assess the GBR for any country that provides the information required for the assessment. This methodology is limited to bovines and feed based transmission of BSE. It does not take into account any other initial sources of BSE than the import of infected cattle or contaminated feed. It is assumed that the disease first appeared in the UK from a still unknown initial source. An important characteristic of the methodology is that it does not depend on the confirmed incidence of clinical BSE, which is sometimes difficult to assess due to serious intrinsic limitations of surveillance¹ systems. The other advantage of this methodology is that it allows an easy identification of possible additional measures that in a given situation may improve the ability of a country to cope with BSE.

The qualitative nature of this methodology and its limitations should be understood in the context of present scientific knowledge on BSE and of the availability and quality of data. As they both evolve, and with the possible advancement of diagnostic methods, the need may arise for the methodology to be revised and/or its application to particular countries to be repeated.

In parallel with the work of the SSC, the OIE (Office International des Epizooties) has developed further the BSE-chapter in its Animal Health Code, which makes reference to risk analysis as an integrated part of the procedure to establish the BSE-status of countries or zones. The compatibility of the OIE approach and the SSC methodology for assessing the GBR is extensively discussed in this opinion.

The present opinion also describes the highly interactive procedure through which the methodology has been applied to those countries that have submitted information and data so far, and the results of this application.

The SSC wants to underline that its main task is to assess whether the presence of one or more infected cattle in a given country is « highly unlikely », « unlikely, but not excluded », « likely, but not confirmed », or « confirmed at lower or higher level » and what the future trend might be. In making this assessment, the SSC has used a reasonable worst-case approach (i.e. a conservative approach) every time data availability was insufficient.

¹ Surveillance should be understood as the process of identifying BSE-cases and animals at risk of being infected.

It should be clear that the GBR has no direct bearing on human exposure to BSE. In fact, at a given GBR, the risk that food is contaminated with the BSE agent depends on three main factors:

- the likelihood that infected bovines are processed;
- the amount and distribution of infectivity in BSE-infected cattle at slaughter; and
- the ways in which the various tissues that contain infectivity are processed.

Also the risk that animals are exposed to the BSE agent is strongly influenced by a range of other parameters.

The SSC believes that decisions aimed at managing the BSE-risk are the responsibility of the authorities in charge and might need to take into account other aspects than those covered by this risk assessment.

2. THE GEOGRAPHICAL BSE-RISK (GBR) - METHODOLOGY AND PROCEDURE

2.1 DEFINITION OF THE GEOGRAPHICAL BSE-RISK (GBR)

The Geographical BSE-Risk (GBR) is a qualitative indicator of the likelihood of the presence of one or more cattle being infected with BSE, pre-clinically as well as clinically, at a given point in time, in a country. Where presence is confirmed, the GBR gives an indication of the level of infection as specified in the table below.

GBR level	Presence of one or more cattle clinically or pre-clinically infected with the BSE agent in a geographical region/country
I	Highly unlikely
II	Unlikely but not excluded
III	Likely but not confirmed or confirmed, at a lower level
IV	Confirmed, at a higher level

Table 1 - Definition of GBR and its levels

The SSC is well aware that the borderline between GBR level III and IV has to remain arbitrary, as no clear scientific justification can be provided for this differentiation. The SSC adopts for the time being the OIE threshold, i.e. an incidence of more than 100 confirmed BSE cases per million within the cattle population over 24 months of age in the country or zone, calculated over the past 12 months.

The SSC also agrees with the OIE (see also section 2.6 of this document) that, under certain circumstances, countries with an observed domestic incidence between 1 and 100 BSE-cases per million adult cattle calculated over the past 12

months, should be put into the highest risk level if, for example, there are clear indications that the true clinical incidence is in fact higher than 100 per million adult cattle calculated over the past 12 months.

Active² surveillance exercises in Switzerland (of adult cattle not notified as BSE or CNS suspect in fallen stock, emergency slaughter, and normal slaughter) and the UK (OTMS-survey³) both detected several confirmed BSE-cases that would have remained undetected by normal, passive⁴ surveillance, even if targeted at animals with neurological symptoms. The SSC therefore assumed that passive surveillance does not give a true estimate of the existing BSE-cases. The Swiss and UK results indicate that it is likely that passive surveillance, based solely on notification of symptomatic BSE-suspects, will not detect more than half or one third of all clinical cases, or even fewer. However, as long as it is impossible to detect pre-clinical cases in the early phases of the incubation period, active surveillance of apparently healthy animals younger than 24 months cannot be expected to improve the detection level.

At this stage it should be reiterated that the applied 4 GBR-levels are only used to illustrate in qualitative terms different risk levels. Each of these levels includes a range of different potential risks. This range is not considered in the current classification.

2.2 METHODOLOGY FOR ASSESSING THE GBR

2.21 Basic assumptions

The present application of the SSC-methodology for the assessment of the GBR is based on the assumption that BSE arose in the United Kingdom (UK) and was propagated through the recycling of bovine tissues into animal feed. Later the export of infected animals and infected feed provided the means for the spread of the BSE-agent to other countries where it was again recycled and propagated via the feed chain.

For all countries other than the UK, import of contaminated feed or infected animals is the only possible initial source of BSE that is taken into account. Potential sources such as a spontaneous occurrence of BSE at very low frequency or the transformation into BSE of other (animal) TSEs (scrapie, CWD, TME, FSE⁵) being present in a country are not considered, as they are not scientifically confirmed.

² Active surveillance = testing of cattle that are not notified as BSE-suspects but belong to risk sub-populations.

³ OTMS=Over Thirty Months Scheme. This scheme excludes all cattle older than 30 months from the animal feed and human food chain. The survey involved sampling about 3000 cattle older than 60 months and which did not show any symptoms compatible with BSE and found 18 BSE-cases.

⁴ Passive surveillance = surveillance of notified BSE-suspects, i.e. cattle that are notified because of clinical signs compatible with BSE.

⁵ TSE=Transmissible Spongiform Encephalopathy; CWD=Chronic Wasting Disease; TME=Transmissible Mink Encephalopathy; FSE=Feline Spongiform Encephalopathy

The only transmission mode considered in the model is feed. Contaminated feed is taken as the only possible route of infection because epidemiological research showed clearly that the origin and maintenance of the BSE epidemic in the UK was directly linked to the consumption of infected meat and bone meal by cattle. Blood, semen and embryos are not seen to be effective transmission vectors⁶. Accordingly, blood-meal is not taken into account, neither.

During the assessment, it became obvious from different sources that cross-contamination of MMBM⁷-free cattle feed with other feeds that contain such ingredients can be a way of propagating the disease. Therefore, it is important to understand that, as long as feeding of MMBM, BM (Bone meal) or Greaves to other farmed animals is legally possible, cross-contamination of cattle feed with animal (ruminant) protein can not be eliminated. Dedicated production lines and transport channels and control of the use and possession of MMBM at farm level would be required to fully control cross-contamination. It should be clear that any cross contamination of cattle feed with MMBM, even well below 0.5%, represents a risk of transmitting the disease⁸. However, the influence of cross-contamination on the GBR has to be seen in the light of the risk that the animal protein under consideration could carry BSE-infectivity.

In the light of the qualitative nature of the exercise, its relatively lesser importance in comparison to feed, and the lack of final scientific confirmation of its existence, the possible impact of maternal transmission on the GBR has not been taken into account⁹ in this methodology.

Similarly no “third route of transmission” was taken into account. The existence of a third mode of transmission of BSE, in addition to feed and vertical transmission, such as horizontal transmission via the environment, cannot be excluded. However, to date there is no scientific evidence for such a third potential mode of transmission¹⁰. The assessment also does not take into account the possibility that sheep and goats may have become infected with BSE¹¹.

The present GBR risk assessments (see chapter 3 and annex III) are only addressing entire countries and national herds. This is because of the limited availability of detailed, regionalised data. The SSC does not discount the issue of regional differences, for example in the types of animal husbandry e.g. dairy or beef, of feeding or of slaughtering ages. If complete data sets were to be provided on a regional scale, i.e. clearly relating to a defined geographical area, these could be assessed in the same way as data referring to entire countries.

⁶ See SSC-opinion on vertical transmission, 18-19 March 1999 and on the safety of ruminant blood (13/14 April 2000)

⁷ MMBM = Mammalian MBM

⁸ In its opinion on cross-contamination (n° 12 in annex I) the SSC already expressed this position.

⁹ There are statistical indications that the disease may be vertically transmitted from dam to calf. It was statistically shown that the risk of maternal transmission occurring is higher if the calf was born within 6 months before the onset of the clinical signs in the dam. Offspring cull and assurance that the dam has survived without BSE for at least six months after calving will thus provide a certain degree of assurance that its offspring is safe (see Opinions N°s 2, 4, 23, 24 and 30 listed in Annex 1).

¹⁰ See SSC-opinions N°s 4, 23, and 30 listed in Annex 1

¹¹ See SSC opinion on the risk of infection of sheep and goats with BSE, 24/25 September 1998

2.22 Information factors and model of the BSE cattle system

The methodology is based on information on 8 factors that were originally identified by the SSC in January 1998. In table 2 the most relevant information is listed that was finally found to be important for carrying out the assessment.

<p>Structure and dynamics of the bovine population</p> <ul style="list-style-type: none"> - Number and age distribution of beef and dairy cattle, both alive and slaughtered - Husbandry systems, proportional to the total cattle population (beef/dairy, intensive/extensive, productivity of dairy cattle, co-farming of pig/poultry and cattle, geographical distribution of cattle and pig/poultry populations and of different husbandry systems)
<p>Surveillance of BSE</p> <p><u>Measures in place to ensure detection of BSE-cases:</u></p> <ul style="list-style-type: none"> - Identification system and its tracing capacity - Date since when BSE is compulsory notifiable and criteria for a BSE-suspect - Awareness training (when, how, who was trained) - Compensation (since when, how much in relation to market value, payment conditions) - Other measures taken to ensure notification of BSE suspects - Specific BSE-surveillance programs and actions - Methods and procedures (sampling and laboratory procedures) used for the confirmation of BSE-cases <p><u>Results of BSE-surveillance:</u></p> <ul style="list-style-type: none"> - Number of cattle, by origin (domestic/imported), type (beef/dairy), age, method used to confirm the diagnosis and reason why the animal was examined (CNS, BSE-suspect, BSE-related culling, other) - Incidence of reported BSE-cases by year of confirmation, by birth cohort of the confirmed cases, and – if possible – type of cattle
<p>BSE related culling</p> <ul style="list-style-type: none"> - Culling schemes, date of introduction & criteria used to identify animals that are to be culled - Information on animals already culled in the context of BSE
<p>Import of Cattle and MBM (Note: Semen, embryos or ova not seen as an effective transmission route. MBM is used as proxy for mammalian protein as animal feed)</p> <ul style="list-style-type: none"> - Imports of live cattle and/or MBM from UK and other BSE-affected countries - Information that could influence the risk of imports to carry the BSE agent (BSE-status of the herds of origin of imported cattle, precise definition of the imported animal protein, etc.) - Main imports of live cattle and/or MBM from other countries - Use made of the imported cattle or MBM
<p>Feeding</p> <ul style="list-style-type: none"> - Domestic production of MBM and use of MBM (domestic and imported) - Domestic production of composite animal feed and its use - Potential for cross-contamination of feed for cattle with MBM during feed production, during transport and on-farm, measures taken to reduce and control it, results of the controls
<p>MBM-bans</p> <ul style="list-style-type: none"> - Dates of introduction and scope (type of animal protein banned for the use in feed in different species, exceptions, etc.) - Measures taken to ensure and to control compliance - Methods and results of compliance control
<p>SBM-bans (SBM: Specified Risk Material, i.e. material posing the highest risk of infection)</p> <ul style="list-style-type: none"> - Dates of introduction and scope (definition of SRM, use made of SRM, exceptions from /target animals of the ban, etc.) - Measures taken to ensure and to control compliance - Methods and results of compliance control
<p>Rendering</p> <ul style="list-style-type: none"> - Raw material used (type: Slaughterhouse offal including SRM or not, other animal waste, fallen stock, etc.; annual amounts by type of raw material) - Process conditions applied (time, temperature, pressure; batch/continuous;) and their share of the annual total domestic production)

Table 2 – Information factors for assessing the GBR *Note: all information should be available for the period from 1980 onwards and be presented on an annual base. For the purpose of the GBR-assessment reasonable worst case assumptions have been used whenever the information was not complete.*

In order to clarify the (often-delayed) interaction between these factors, the SSC has adopted a simplified strictly qualitative model of the cattle/BSE system¹² (Figure 1) which focuses on the feed-back loop that needs to be activated to spark a BSE-epidemic. This feed-back loop consists essentially of the processing of (parts of) cattle that carry the BSE-agent into feed and the feeding of this to cattle who then get infected and multiply the BSE-agent inside their bodies leading to very different concentration of infectivity in different tissues.

This feed-back loop is influenced by a number of factors that, on the one hand, may activate the loop and, on the other hand, might prevent this activation or slow down or reverse the building up of BSE-infectivity within the system.

In the model used by the SSC the initial introduction of the BSE-agent has to come from outside – it is therefore called an external challenge of the system¹³. Two possible routes of introduction are considered: import of infected cattle or import

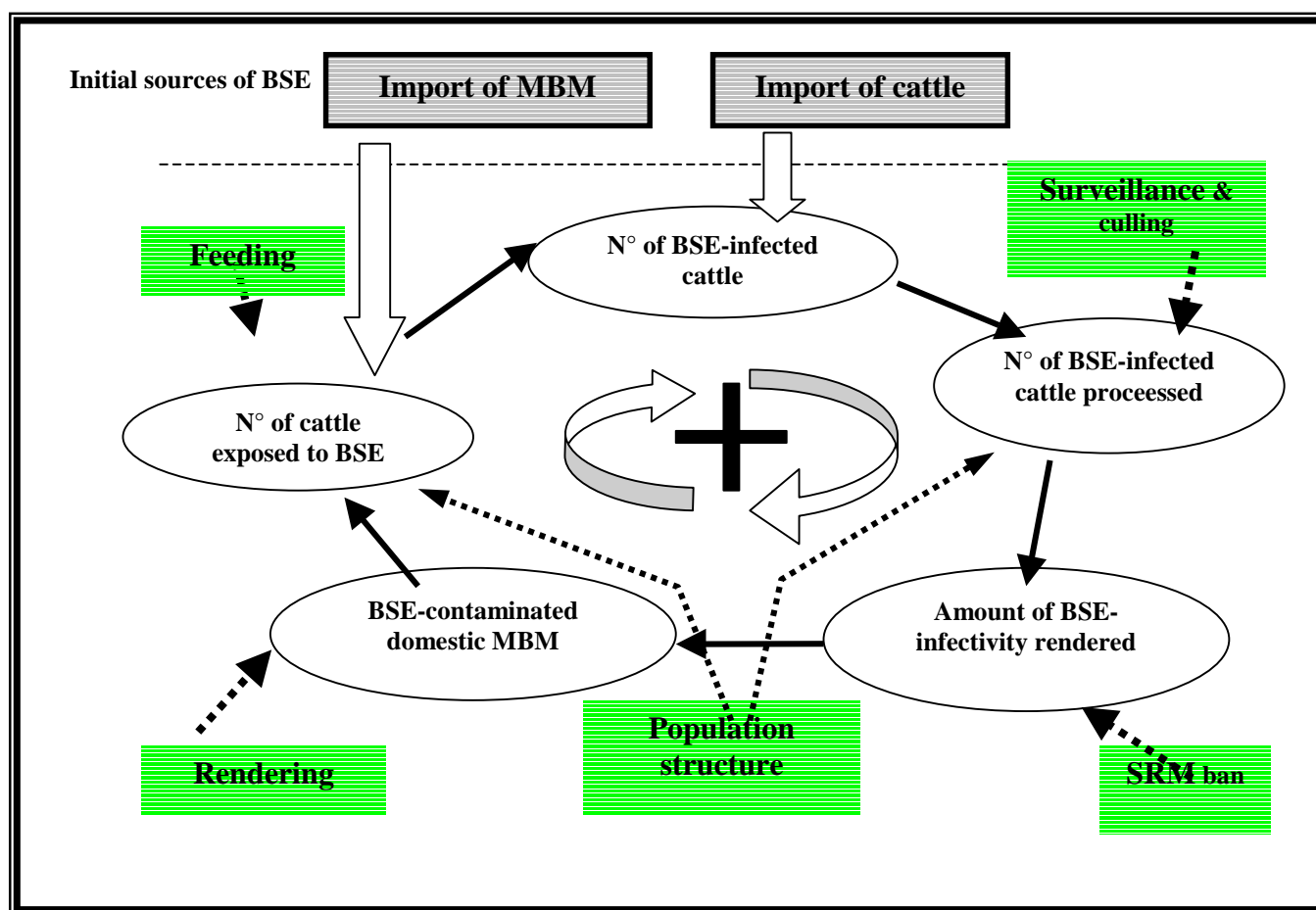


Figure 1: The model of the BSE/cattle system used by the SSC

¹² A BSE/cattle system of a country or region comprises the cattle population and all factors that are of relevance for the propagation of the BSE-agent, should it be present within its boundaries. The model used by the SSC to describe this system is presented in figure 1, it is a deliberately kept simple.

of contaminated MBM.

The factors assumed to be able to prevent the building-up of BSE-infectivity in the system are the following:

- ⇒ Surveillance and culling. By identifying BSE-cases (by passive and active surveillance including testing and laboratory confirmation) and excluding them and related cattle at risk of being infected from processing (by “culling” and destruction), the risk of introducing the BSE-agent into the feed chain is reduced.
- ⇒ SRM-removal. By excluding those tissues known to carry the bulk of the infectivity that can be harboured by a (pre-)clinical BSE-case from rendering, it reduces the infectivity that could enter the feed chain. Excluding fallen stock from the feed chain is seen to be equally effective as a “partial” SRM-ban because, according to Swiss experience, the frequency of infective (pre-) clinical cases in fallen stock seems to be higher than in normal slaughter.
- ⇒ Rendering. Appropriate rendering processes reduce BSE-infectivity that is carried by the raw material by a factor of up-to 1,000 (see footnote ¹⁴).
- ⇒ Feeding. By ensuring that no feed that could carry the BSE-agent reached cattle this effectively reduces the risk of new infections in the domestic cattle population.

In summary, the model basically can be broken down into two parts relating to challenge (chapter 2.23 and 2.25) and stability (chapter 2.24). The model assumes a mechanism for their interaction.

2.23 External challenge

The term “**external challenge**” is referring to both the likelihood and the amount of the BSE agent entering into a defined geographical area in a given time period through infected cattle or MBM.

2.231 Assessing the external challenge

During the GBR-assessment exercise it became necessary to establish guidelines for assessing the external challenge in order to ensure that comparable challenges were always assessed similarly.

To this end it was first decided to regard the external challenge independent from the size of the challenged BSE/cattle system and in particular the size and structure of the total cattle population (see also section 2.25)

Secondly, it was decided to use the assumed challenge resulting from imports from the UK during the peak of the BSE-epidemic in the UK as the point of reference and to establish the challenge resulting from imports during other periods and from other BSE-affected countries in relation to this baseline.

¹³ For the UK it is assumed that the initial introduction of the agent happened before the period taken into account in this model.

¹⁴ See SSC-opinion on the Safety of Meat and Bone Meal, 26/27 March 1998

Therefore, the figures given in table 3 below refer to imports from the country (UK) and the period of time where the risk of contamination of exports with the BSE-agent was regarded to be highest. For live cattle imports this was assumed to be the period 1988 to 1993. As a reasonable worst case assumption it was assumed¹⁵ that during this period the average BSE-prevalence of infected animals in exported cattle was around 5%¹⁶, i.e. of 20 animals one could have been infected. Therefore, a moderate external challenge would have made it likely that at least one infected animal was imported. The other levels of external challenge were established with the intention of indicating differences from this level of potentially imported infection.

The assessment of the challenge posed by MBM imports (also table 3) were similarly chosen in accordance with the following events and steps:

- The critical period, i.e. the period of highest risk that MBM imports from the UK were contaminated was set to 1986 –1990. This is the period with the highest case incidence in the birth cohorts.
- The risk peaked in 1988 when SBO¹⁷ were excluded from the human food chain but included into rendering and feed production. It was reduced with the exclusion of SBO¹¹ from rendering at the end of 1989.
- The table below indicates that the import of one ton of MBM is seen to pose the same challenge as the import of one live animal. This is justified by the fact that available import statistics do not allow the differentiation between different forms of animal proteins and that practically all MBM produced in Europe is always a mixture of ruminant and non-ruminant material. It should also be seen in the context that the probability that more than one infected cattle was processed per ton of final MBM is very low, even in the UK¹⁸.

¹⁵ The period 88-93 was chosen as highest risk period for live cattle imports because it covers the period of roughly one incubation period before the highest incidence (1992/93). Recent data on case incidence in birth cohorts show that this was already high in 1985/86 and 1986/87. However, as cattle are normally exported at an age between 6 (veal) and 24 (breeding stock) months, it was felt justified to keep this range. Nevertheless it might be possible that the risk carried by imports in 1987 was slightly underestimated by this approach.

¹⁶ The value of 5% was used because at normal survival probabilities only one in 5 calves reaches an age of 5 years. If the case incidence in a birth cohort was about 1%, about 5% of the calves in that birth cohort could have been infected.

¹⁷ Specified Bovine Offal = those bovine offal that contain the highest concentration of BSE-infectivity in a clinical BSE-case.

¹⁸ As one cattle carcass is rendered into about 65 kg MBM, 18 carcasses would be needed per ton of MBM.

<u>EXTERNAL CHALLENGE</u>	Cattle (n° of heads) imports		MBM ¹ (tons) imports			
	1988 - 93 from UK	UK-imports before 88 and 94-97: * 10; after 97: * 100	Imports from other countries with BSE: * 100	1986 - 90 from UK	UK-imports before 86 & 91-93: * 10, after 93 * 100	Imports from other BSE-countries * 10
Extremely High	≥10.000					
Very High	1.000 - < 10.000			1.000 - < 10.000		
High	100 - < 1.000			100 - < 1.000		
Moderate	20 - < 100			20 - < 100		
Low	10 - < 20			10 - < 20		
Very low	5 - < 10			5 - < 10		
Negligible	0 - < 5			0 - < 5		

¹ The abbreviation “MBM” refers to different animal meals (MBM, MMBM, BM, Greaves) that could carry the BSE-agent because it contains animal (ruminant) proteins. It does not refer to composite feed that could potentially contain MBM, MMBM, BM or Greaves.

Table 3: Definition of BSE-challenge levels

In other countries affected by BSE and, in the UK, at other periods the risk that exported cattle were carrying the BSE-agent or that MBM was contaminated with BSE was lower. Accordingly the challenge posed by the same amount of imports would be much lower or the same level of challenge would only occur at higher imports. To adapt the thresholds accordingly, the following multipliers were used:

Import from UK in other periods:

- Cattle:* before 1988 and from 1994 to 1997: multiply all thresholds by **10**;
1998 and after: multiply all thresholds by **100**;
- MBM:* before 1986 and from 1991 to 1993: multiply all thresholds by **10**;
1993 and after: multiply all thresholds by **100**.

Import from other countries than UK affected by BSE: regardless of period and whenever there is reason to assume that BSE was already present at time of export:

- Cattle:* multiply all thresholds by **100**,
- MBM:* multiply all thresholds by **10**.

It has to be underlined that the above figures in the table and the multipliers are only indicative. It is obvious that the final external challenge associated with imported cattle and their impact will largely depend of a number of factors including their age at slaughter. Excluding imported animals from the feed chain would reduce the challenge that the excluded animals represent to a negligible level. Accordingly imported animals that are slaughtered before reaching an age of 24 months would represent a lower challenge than imported animals used for breeding and then rendered at an age high enough to be approaching the end of the incubation period. If available, this and similar information are used to modulate the criteria in the table.

2.24 Stability

Stability is defined as the ability of a BSE/cattle system to prevent the introduction and to reduce the spread of the BSE agent within its borders. Stability relies on the avoidance of processing of infected cattle and the avoidance of recycling of the

BSE agent via the feed chain. A “stable” system would eliminate BSE over time; an “unstable” system would amplify it.

The most important stability factors are those which reduce the risk of recycling of BSE, in particular:

- avoiding feeding of MBM to cattle,
- a rendering system (“rendering”), able to largely inactivate BSE-infectivity (e.g. by applying “standard¹⁹” treatment at 133°/20^{min}/3^{bar}), and
- exclusion of those tissues/organs from rendering where BSE infectivity could be particularly high (“SRM-removal”). Excluding fallen-stock from the feed chain will also reduce the amount of BSE infectivity that could enter the feed chain and is necessary for a fully efficient SRM-removal. Excluding fallen stock from rendering alone, i.e. without exclusion of SRM from other cattle, would have some effect but is not as efficient as a “reasonably OK” system of SRM-removal.

A comprehensive surveillance system (including passive and active elements) and related activities that ensure detection and isolation (and destruction) of BSE-cases and cattle at risk of being infected would also enhance the stability of the system.

These stability factors were already relevant before their contribution to prevent spreading the BSE epidemic was scientifically understood. It is therefore clear that even compliance with a regulation that at that time was scientifically up-to-date may not always have guaranteed stability.

2.241 Stability levels

A BSE/cattle system can only be regarded to be “**optimally stable**” if all three main stability factors (feeding, rendering, SRM-removal including fallen stock) are in place, well controlled, implemented and audited (“OK”). Ideally such a system would also exclude fallen stock from processing into feed and integrate a highly effective capacity to identify BSE-cases and exclude them together with cattle at risk of being infected from being processed. Such a system would fully prevent propagation of BSE-infectivity and eliminate BSE-infectivity from the system very fast.

If two of the three factors are assessed to be “OK” but one of these factors is only reasonably implemented (“reasonably OK”), the system could at best be assumed to be “**very stable**”. Propagation would be largely prevented but the elimination of BSE-infectivity from the system is slower than in an “optimally stable” system.

A system can still be assumed to be “**stable**” as long as two of the three factors are “OK”, or one is “OK” and two are “reasonably OK”. BSE will be eliminated from the system over time but propagation may still take place – only at a lower rate than the elimination of BSE from the system.

If all three factors are “reasonably OK”, the system can nevertheless only be assessed as “**neutrally stable**”, i.e. it would neither amplify nor reduce circulating

¹⁹ As defined in the SSC-opinion on MBM, see n°8 in annex 1

BSE-infectivity over time. The same is true if only one factor is “OK” and two are not present or only badly implemented.

If only two factors are “reasonably OK”, the system is seen to be “**unstable**”. It will amplify BSE, should it be introduced. This means the propagation rate is higher than the elimination rate, if there is any.

With only one “reasonably OK” factor in place, the system is assumed to be “**very unstable**”, i.e. recycling a large proportion of the BSE-agent and propagating the disease rather fast.

If none of the three factors can even be considered as “reasonably OK”, the system would be “**extremely unstable**”, quickly propagating the BSE-agent, should it enter, and amplifying the BSE-load of the system.

These considerations are summarised in table 4 below that was used as guidance for ensuring comparability of approaches used for assessing the degree of stability of a given BSE/cattle system between the different country assessments.

<u>STABILITY</u>	Level	<i>Effect on BSE-infectivity</i>	Most important stability factors		
			Feeding	Rendering	SRM-removal
Stable: <i>The system will reduce BSE-infectivity</i>	Optimally* stable	<i>Very fast</i>	Feeding OK, rendering OK, SRM-removal OK		
	Very stable	<i>Fast</i>	Two of the three factors OK, one reasonably OK.		
	Stable	<i>Slow</i>	Two OK or 1 OK and two reasonably OK.		
Neutrally stable		<i>+ - constant</i>	3 reasonably OK or 1 OK		
Unstable: <i>The system will amplify BSE-infectivity</i>	Unstable	<i>Slow</i>	2 reasonably OK		
	Very Unstable	<i>Fast</i>	1 reasonably OK		
	Extremely Unstable	<i>Very Fast</i>	None even reasonably OK		

Table 4: BSE-stability levels (*“Optimally” should be understood as “as good as possible according to current knowledge”.)

Explanation concerning the three main stability-factors:

Feeding: OK = evidence provided that it is highly unlikely that any cattle received MMBM.

Reasonably OK = voluntary feeding unlikely but cross contamination cannot be excluded.

Rendering: OK = only plants that reliably operate at 133°/20^{min}/3^{bar}-standard.

Reasonably OK = all plants processing high-risk material (SRM, fallen stock, material not fit for human consumption) operating at 133°/20^{min}/3^{bar} – standard, low-risk material is processed at more gentle conditions.

SRM-removal: OK=SRM-removal from imported and domestic cattle in place, well implemented and evidence provided. Fallen stock is excluded from the feed chain.

Reasonably OK = SRM- removal from imported and domestic cattle in place but not well implemented or documented. If in addition to a “reasonable OK” SRM-removal fallen-stock is excluded from rendering, the “SRM-removal” might be considered “OK”. Exclusion of fallen stock from rendering alone is regarded to be useful but not as effective as a “reasonably OK” SRM-removal.

Note:

Surveillance and culling are essential for the ability of a system to identify clinical BSE-cases and to avoid that they, and related at-risk animals, enter processing. A good surveillance system can therefore, in combination with appropriate culling, improve the stability by supporting the exclusion of BSE-infectivity from the system. It would, however, not be sufficient to make a system more stable (move it into the next higher stability level) than it would be due to the three main stability factors.

2.25 Internal challenge

The term “internal challenge” is referring to the likelihood and the amount of the BSE-agent being present and circulating in a specific geographical area in a given time period.

If present, the agent could be there in infected domestic animals, where it would be replicated, in particular in SRMs, and in domestic MBM made from the infected domestic cattle. The internal challenge in a given period is a consequence of the interaction of the stability of the system and the combined external and internal challenge to which it was exposed in a previous period.

- If a fully stable BSE/cattle system is exposed to an external challenge, processing and recycling of the BSE-load entering the system will be prevented and the infectivity load will be neutralised over time. No internal challenge will result from this external challenge because the system is able to cope with it.
- If an unstable BSE/cattle system is exposed to an external challenge, processing and recycling of the BSE-load entering the system will take place and the agent will start circulating in the system. It will first be present in contaminated domestic MBM and, if this is fed to domestic cattle, these are likely to become infected. After approximately another 5 years (average incubation period) a certain number of them, which have survived until that age, could become clinical-BSE cases. Others might be processed before developing clinical symptoms and the infectivity harboured by them will again be recycled. By this way the internal BSE-load of the system is going to be amplified and a BSE-epidemic could develop (see fig.2).

The number of domestic cattle that are pre-clinically or clinically infected with the BSE-agent while being alive in the system at a given point in time could be taken as an indicator of the size of the internal challenge. However, it is currently impossible to detect pre-clinical BSE-cases and early clinical phases of BSE are

easily misdiagnosed. Therefore the time frame required for an internal challenge to be detected in an unstable country challenged by BSE will normally be at least one incubation period after the initial challenge (approximately 5 years). It may be much longer, depending on a number of factors including the following ones:

- ⇒ the extent of the BSE challenge (a larger challenge would lead to more new infections with a higher number of cases reaching the clinical phase);
- ⇒ the extent of the instability of the country (a very unstable system would amplify the infectivity faster and lead more rapidly to a higher number of cases);
- ⇒ the size of the national cattle population (within a smaller population the same number of cases might be more easily discovered than in a large population, i.e. given a similar initial challenge and similar rates of propagation it would take longer to reach the same incidence level), animal demographics and agricultural and marketing practices of the challenged countries (e.g. if cattle are hardly reaching an age of 5 or more years, the probability that incubating animals turn into clinical cases is reduced); and
- ⇒ the quality and validity of the BSE surveillance in the challenged country (the better the surveillance the earlier the detection as the risk of missing a case is smaller).

Depending on the many specifications of each case, detection of an internal challenge may take from a minimum of an average of 5 years from the initial challenge (average incubation period) up to several incubation periods. The longer periods might be valid because several cycles of about one incubation-period each are needed to reach numbers of clinical BSE-cases that are detectable by existing surveillance systems.

In principle, it cannot be excluded that, under certain circumstances, even an infectious load entering an unstable BSE/cattle-system may have no impact. This may happen if it is unintentionally eliminated, e.g. if contaminated imported MBM is all fed to pigs or poultry and does not reach cattle, even if during that period feeding MBM to cattle was legally possible and generally done. However, the SSC has assumed, as a reasonable worst case scenario, that exposure of an unstable system to the BSE agent would always result sooner or later in an internal challenge. The speed of this development depends on the degree of stability of the system.

2.26 Interaction of overall challenge and stability over time

The overall challenge is the combination of the external and internal challenges being present in a BSE/cattle system at a given point of time.

Four different basic combinations of stability and challenge can be seen.

- A “**stable**” system that is not or only slightly “**challenged**”: this is obviously the best situation.
- A “**stable**” system that is highly “**challenged**”: this is still rather good because the system will be able remove the BSE, even if this might need some time.

- An “unstable” system is not or only slightly “challenged”: as long as BSE is not entering the system, the situation is good. However, if BSE would enter the system it could be amplified.
- An “unstable” system is “challenged”: obviously this is an unfortunate situation. BSE-infectivity entering the system will be amplified and an epidemic will develop.

These “stability” and “challenge” situations are illustrated by the two-dimensional diagram given in Figure 2, where both axes spread between the respective lowest and highest feasible level.

		Overall Challenge						
		Negligible	Very low	Low	Moderate	High	Very high	Extremely high
Stability Reduction Amplification	Optimally stable							
	Very stable	Best					Good	
	Stable							
	Neutral							
	Unstable				→			
	Very Unstable		X→	→				
	Extremely Unstable	Good					Worst	

Figure 2: Stability/challenge combination, four principal situations and a hypothetical development over time

Since the above-mentioned 8 factors, on which challenge (external and internal) and stability depend, change over time, it is necessary to assess the challenge and stability at different periods. These periods might, for example, be determined in function of changes of stability (e.g. by an MBM-ban) and/or challenge (e.g. preventing BSE from entering the system).

The arrows in figure 2 indicate an example for a hypothetical development over time. A very unstable system is exposed to a very low initial (external) challenge. Because of the low stability and as it is assumed that no special measures are taken to prevent the “dangerous” imports from entering the feed cycle, e.g. by putting the imported animals under strict monitoring and prohibiting them to be rendered, the BSE-infectivity is recycled and, over time, amplified. After some time (several years) the challenge (external plus internal) is reaching a moderate level but in the hypothetical example the stability is improving, too, for example by excluding ruminant MBM from cattle feed. The system, however, remains unstable and

therefore the BSE-infectivity that is present in the system continues to be recycled and amplified. A high challenge develops. Fortunately the stability of the system is increasing. As soon as it is stable the system eliminates BSE-infectivity and the challenge decreases (as long as no new external challenges occur). With a further improvement of the stability the decrease of the challenge will be quicker.

From the above explanations it becomes clear that the past stability and overall challenge of the system are the reason for the current internal challenge and hence the current GBR. The impact of most risk management measures on the number of clinical BSE-cases is delayed by at least one incubation period of BSE, in bovines on average 5 years. Therefore measures taken in the last five years may have had an immediate effect on the recycling and amplification of the BSE-agent and hence the internal challenge and the current GBR but will only be reflected in the number of clinical BSE-cases around one incubation period after their effective implementation.

It is also clear that the future development of the GBR is influenced by the occurrence of additional external challenges and the continued ability of the system to reduce any incoming or already existing BSE infectivity. Assuming that new challenges can be avoided, the current stability determines the slope of the GBR-trend. An optimally stable system will very quickly reduce the GBR-level and an extremely unstable system will very quickly amplify any BSE-infectivity that is already in the system and increase the GBR-level.

2.3 PROCEDURE FOR ASSESSING THE GBR

2.31 Development of the methodology

In January 1998, the SSC established a list of factors on which it would require information for assessing the Geographical BSE-Risk (GBR)²⁰.

In July 1998, the Commission recommended to Member States and interested Third Countries to provide information on these factors²¹.

In December 1998, the SSC issued a draft opinion on a method for assessing the Geographical BSE-Risk of a country or region. This was adopted in February 1999²², taking into account comments received and the method was first applied in March 1999 to 11 Member States of the European Union (MS) that had supplied dossiers at that time. The methodology and process were repeatedly updated. The basis for these updates was the experience gained with its application to 26²³ countries who had voluntarily submitted information and the comments received from several of these countries on

- the drafts of their reports (April/May and June 1999 and 2000),

²⁰Opinion of the SSC on defining the BSE-risk for specified geographical areas. 22/23 January 1998

²¹Commission recommendation of 22 July 1998 concerning information necessary to support applications or the evaluation of the epidemiological status of countries with respect to TSEs. (C(1998) 2268); 98/ 447/EC)

²²Opinion of the SSC on a method to assess the Geographical BSE-Risk of countries or regions. 18-19/02/99

²³The reports for the Czech Republic, India and the Slovak Republic are still pending finalisation.

- a working document of the SSC on the GBR (April 2000), and
- the preliminary opinion of the SSC on the Geographical risk of BSE and the preliminary country reports on the BSE-risk assessment (May 2000).

2.32 The process

The application of the SSC methodology was carried out with the help of about 50 independent experts, coming from most of the Member States and Third Countries.

More than three independent experts assessed each country and discussed their analyses with the country's experts in order to clarify the available information. These discussions proved to be very valuable. To date, July 2000, twenty-three countries have been assessed.

The assessed countries have openly co-operated in the assessment by sending their country experts and by reacting to the draft reports forwarded to them for comments. During the process many countries provided additional information that improved the basis for the risk assessment.

The process by which the independent experts²⁴ assessed the GBR of a given country is outlined in table 5. The report on the assessment of the GBR of each country followed the same scheme. The interaction of the countries was essentially contributing to the tasks in step 1 (data appreciation) and the appraisal of the appropriateness of the conclusions drawn and presented under the points 2-5.

Notwithstanding the efforts made to harmonise the approaches taken by the different experts, a certain degree of difference in appraisal of comparable data could not have been avoided. With a view to harmonise the different country reports and to ensure consistency a final review of all assessments was carried out from January 2000.

Having taken account of the draft country reports available in January 2000, the SSC charged 20 independent experts to review them. In order to do so they were asked to establish criteria for determining the respective degrees of stability and challenge of each country, and to apply these consistently to all assessments. The experts were also requested to apply a consistent approach to estimating the current and future GBR derived from the past and current interaction of stability and challenge.

²⁴ In order to identify these independent experts the ad-hoc TSE/BSE group discussed the importance of the quality of the experts and developed a set of criteria that was subsequently adopted by the SSC (October 1998). Members of the ad-hoc group and of the SSC were invited to submit names and a list of possible candidates was established, also including experts known to the secretariat from previous work. This list was discussed at the TSE/BSE ad-hoc group and also given to the SSC. There were no objections to the list and it was left to the secretariat to invite the experts taking account of the selection criteria agreed on and the availability of the experts.

<p>1. Appraisal of the quality of the available data</p>
<p>2. Assessment of the Stability of the BSE/cattle system (over time). 2.1 Ability to identify BSE-cases & to exclude cattle at-risk of being infected from processing 2.2 Ability to avoid recycling BSE-infectivity, should it enter processing 2.3 Overall assessment of the stability (over time)</p>
<p>3. Assessment of the challenges to the system (over time) 3.1 External challenge resulting from importing BSE 3.2 Internal challenge resulting from the interaction of external challenge and stability. 3.3 Overall challenge (over time)</p>
<p>4. Conclusion on the resulting risks (over time) 4.1 Interaction of stability and overall challenge (over time) 4.2 Risk that BSE-infectivity enters processing (over time) 4.3 Risk that BSE-infectivity is recycled and the disease propagated (over time)</p>
<p>5. Conclusion on the Geographical BSE-Risk 5.1 The current GBR as function of the past stability and challenge 5.2 The expected development of the GBR as function of past and present stability & challenge. 5.3 Recommendations to influence the expected development of the GBR.</p>

Table 5: - Outline for the assessment procedure established by the SSC and applied by the independent experts. This outline was also used to structure the Country reports.

In order to do so, the 20 independent experts:

- agreed on practical criteria of assessing challenge and stability to be used as "orientation" to avoid inconsistencies between countries and
- established guidelines for revising and harmonising the reports & their presentation and
- agreed on the current GBR-level and the expected trend for each of the countries assessed on the basis of the information available to them early in February 2000.

The reports that had been prepared by the 20 independent experts were then examined by the TSE/BSE ad-hoc-group and the SSC.

On 2/3 March 2000 the SSC indicated a general agreement with the assessments while still pinpointing to room for improvement in terms of consistency within and between reports and terminology-standardisation. The SSC also recognised the need to up-date them in the light of additional information that became available between May 1999 and early March 2000. It charged a small group of its members and some assessors to carry out this task, taking due account of comments received by the members of the TSE/BSE ad-hoc group, the SSC and the Commission services, which were also invited to comment on the factual correctness of the reports. Subsequently the reports were sent to the respective countries together with a copy of a draft of this opinion. Comments on both documents were requested from the countries by early May 2000. The comments received were taken into account for revising the methodology of the SSC for assessing the Geographical Risk of Bovine Spongiform Encephalopathy (GBR) and preparing preliminary versions of the country reports. It was assumed that countries, which did not submit comments, agreed to the provided documents.

On 25/26 May 2000 the SSC adopted the preliminary opinion and the preliminary GBR-country reports and requested their immediate publication on the Internet, inviting comments on both, the opinion and the reports, until 19 June 2000. Being aware of the sensitivity of the topic, the SSC made it clear that it would only consider comments related to the Risk-Assessment dimension of the issue, not those on the Risk-Management aspects.

The current final opinion and the related final GBR-country-reports take due account of the comments received. These documents now set out the SSC's final views on both the methodology issues and the GBR in each country that has been considered.

In reviewing this opinion and the related country reports it should be understood that in the view of the SSC it is expected that the framework of analysis will need to be revised if novel findings emerge, i.e. this opinion is dynamic in process as more scientific evidence will be available. These may relate to the source of BSE, to the diagnosis and transmissibility of BSE or to the infective dose for man. It can also be expected that novel developments in surveillance and management techniques or new tests to assess the prevalence of sub-clinical BSE conducted in a country may also precipitate the need for a selective re-assessment of a particular GBR.

The SSC's experience in assessing changes in the challenges and stability of countries, however, suggests that trends in incidence figures may allow different conclusions to be drawn only after 3 –5 years. In any case, the current assessments have to be up-dated from time to time.

2.4 AVAILABILITY AND QUALITY OF DATA

The SSC is well aware of the critical importance of the availability and quality of data for any risk assessment. It is, therefore, necessary to appreciate that the current GBR assessments are mainly based on information provided by the assessed countries and that it is essential to assume that the information provided is correct. In essence the provision of an appropriate basis for the GBR-assessment was the responsibility of the competent national authorities.

In general the available data were seen to be adequate to carry out the assessment of the GBR. Despite all efforts, however, considerable differences in the availability and quality of data remain of concern.

Additional sources of information, such as reports from the missions of the EC-Veterinary Inspection Services (the Food and Veterinary Office, FVO) and UK trade statistics were also used as available.

To complement insufficient information, and in line with the recommendation of the Commission of July 1998, "reasonable worst case assumptions" were used whenever extrapolation, interpolation or similar approaches were not possible.

A shortcoming in many dossiers, which had to be overcome by reasonable worst case assumptions, was insufficient information on compliance with the preventive measures put in place by the competent national authorities. For most countries

additional information on this issue could therefore improve the basis for the risk assessment further.

While for E.U. Member States reports from the missions of the FVO were generally available, this is not the case for Third Countries, with the exception of Switzerland. This is important because in case of conflicting information the FVO-mission reports were generally taken as the authoritative source. Mission reports have also been demonstrated to be very useful sources to fill gaps in the available information.

In addition the information base for third countries could also be improved by extensive exploitation of additional publicly available sources. Given these considerations it might be argued that the foundation on which the assessments for third countries are based is not in all cases fully equivalent to the one for the Member States.

Another problem with data availability was recognised, as some countries did not provide data before 1988. In view of the importance of this period for possible initial challenges and recycling of BSE, and in order to treat all countries equally the independent experts stated the following:

“Whenever the available information does not cover the period 1980 to 1988, an open question remains as to the challenge and stability of the system during that period. To this end the following was generally applied:

Challenge: *Given the fact that the UK-epidemic was building up during that period, the implication is that any country that traded live cattle or MBM with the UK in this period could have imported some BSE-infectivity. If the system was unstable during that period (what was frequently the case) the potentially incoming BSE-infectivity could have been amplified.*

In order to have a first approximation of the possible external challenge, UK-export data to the country in question were used. The Commission is also invited to provide the appropriate EUROSTAT data for the same purpose. An analysis of the different import/export figures from different sources would be most useful to improve the information basis for the period in question for all countries.

Stability: *The stability of the system prior to 1988 is estimated on the basis of the available information, if necessary through extrapolation from the last known data.*

If it is not possible to base an assessment of imports on the UK export data or to extrapolate the stability, it will be assumed that the country was subject to a low challenge while its BSE/cattle system was not fully stable. This unfavourable situation is assumed to have lasted until the available data allow assessing the situation differently”.

The impact of incoming cattle on the GBR of the receiving country is assessed on appraisal of the BSE situation in the exporting countries at time of export. Should it become apparent that this appraisal was wrong, the assessment of the

geographical BSE-risk of the receiving country would have to be reviewed. Imports from not-assessed Countries could not be taken into account. It was also in principle impossible to take account of triangular trade as a route for external challenges to develop.

2.5 MONITORING THE EVOLUTION OF THE GEOGRAPHICAL BSE-RISK

In order to monitor the evolution of the GBR, it is very important to improve the ability to identify clinically and sub-clinically BSE-infected animals and potentially infected MBM.

According to field observations in Switzerland, the incidence of BSE is higher in fallen stock and in cows offered for emergency slaughter than in healthy looking animals presented at routine slaughter.

Since the GBR-assessment exercise started, three rapid post-mortem tests for BSE became available. These make appropriate intensive surveillance programmes possible, targeting at-risk sub-populations such as adult cattle in fallen stock or in emergency slaughter, cohorts of confirmed BSE cases. Results from such programmes, applied to statistically justified samples, could improve the basis for future assessments of the GBR, or help to verify the current risk assessment.

Three rapid tests in bovines have been shown by the European Commission (European Commission, 1999, *The Evaluation of Tests for the Diagnosis of Transmissible Spongiform Encephalopathies in Bovines* – see DG-SANCO internet site at http://europa.eu.int/comm/dgs/health_consumer/index_en.htm) to have excellent potential (high sensitivity and specificity) for detecting or confirming clinical BSE for diagnostic purposes or for screening dead or slaughtered animals, particularly casualty animals or carcasses to be used for rendering.

The above tests are:

- *Prionics* : an immuno-blotting test based on a western blotting procedure for the detection of the protease-resistant fragment PrP^{Res} using a monoclonal antibody
- *Enfer* : a chemiluminiscent ELISA, using a polyclonal anti-PrP antibody for detection
- *CEA* : a sandwich immunoassay for PrP^{Res} carried out following denaturation and concentration steps. Two monoclonal antibodies are used.

The currently available rapid post-mortem tests are able to prove the presence of PrP^{Res} in the CNS of cattle that are close to the end of the incubation period or already clinically ill. However, these tests cannot be considered to be able to identify pre-clinical cases at earlier stages of the incubation. The SSC, therefore, regards these tests to be useful for complementing existing surveillance efforts based on notification of BSE-suspects and detection of infected cattle with heavy loads of infectivity.

They should not, however, be used to guarantee the absence of the BSE-agent from an individual animal tested and found to be negative. The SSC wants to underline

its support for the development of improved rapid BSE-diagnostic tests ultimately aimed at having reliable ante-mortem tests able to detect pre-clinical BSE.

Moreover, for an accurate assessment of the future trends in GBR, compliance data (from farming/slaughtering/rendering¹² industries) will be especially important. This information will be needed to determine the effectiveness of the various preventive measures, including bans, adopted and hence their impact on the GBR.

2.6 RELATION OF THE GBR TO THE OIE CODE ON BSE

2.61 *The role of Risk Assessment*

The OIE International Animal Health Code, Chapter 3.2.13 related to BSE, adopted May 2000, states that the status of a country or zone can only be determined from the outcome of a risk analysis. The OIE – International Animal Health Code, Section on Risk Analysis (section 1.4) outlines methods for this process as they are related to issues for the importation of animals or animal products. The OIE identifies the components of the risk analysis process as: hazard identification, risk assessment, risk management and risk communication. The risk assessment is the component of a risk analysis that estimates the risk associated with a hazard. Risk assessment methods should be chosen in relation to the specific situation. They may be qualitative or quantitative. The SSC method for the assessment of the Geographical BSE-Risk is one of the possible qualitative methods that can be used for the risk assessment component of this process. It is, however, an innovative approach using terminology different to those applied in the risk assessment literature and the OIE-section on risk analysis.

The SSC method for the assessment of the geographical BSE-risk is comparable to the OIE-guidance on risk analysis and in particular the chapter on risk assessment. The following points should be taken into consideration when determining the comparability of the SSC-method to other potentially proposed methods:

- The hazard identification is not included in the SSC-method for the assessment of the GBR as it was taken for granted that the BSE-agent is the hazard (see also the SSC-opinion on Human Exposure Risk).
- The release assessment required according to the OIE-guidance could be compared with the assessment of the “external challenge” and the “internal challenge” and their interaction as described in this opinion. The SSC assessment is not completed if the risk of an external challenge has been identified as negligible. This is contrary to the OIE-guidance. This SSC approach is justified by the high degree of uncertainty with the epidemiology and biology of the BSE-agent as well as with its monitoring and surveillance.

¹²As a follow-up to its earlier validation studies on appropriate heat treatments of animals meals, the Joint Research Centre has conducted a study on the Prevention of Epidemic Diseases by appropriate Sterilisation of Animal Waste. According to SSC Opinion (20-21 January 2000), the test may become, after further validation, a useful additional part of verification and control protocols for verifying the appropriateness of processing equipment in rendering plants (effective wet sterilisation carried out at least at 133°C/20’/3 bars), provided a sample of appropriate test material is available to be processed.

The SSC method attempts to address the stability of the assessed BSE/cattle systems as a means to establish its capacity to resist future challenges that are currently unknown.

- One might, however, compare the thrust of the SSC-method with an exposure assessment. The assessment of the inherent stability of a given BSE/cattle system with regard to BSE might be compared, to a certain degree with an analysis of the pathways needed to allow the exposure of animals to BSE. In an unstable system the pathways are open and would lead to exposure whereas in a stable system the risk of exposure occurring is much lower because the pathways are closed. Typically, a pathway assessment would depend on the specific situation and could, according to the OIE, vary from country to country. The SSC-method applies systematically one model of the BSE/cattle system that describes the pathways in a fully transparent and standardised manner. This provides a basis for obtaining comparable results in different countries.

The SSC-method derives a similar end-point as an exposure assessment described in the OIE-guidelines for risk assessment: it provides a qualitative estimation of the likelihood of the exposure to an identified hazard (the BSE-agent), at a given point in time. However, the SSC-method requires assessing the consequences of past exposures, in the SSC-terminology the internal challenges, which together with the external challenges again interact with the stability and create a new exposure situation. Because of the importance of the time dimension in this delayed process the SSC-terminology seems to be more adequate to describe the positive feed-back loop that is responsible for the BSE risk than the more static terms used in conventional Risk Analysis and Risk Assessment.

The SSC-risk assessment is well in keeping with the recommendation in the BSE-chapter of the OIE code. There it is requested to include all factors that could have lead to a risk of introducing or propagating the BSE agent in the country/region under consideration. This list is in fact very similar to the list of risk factors used by the SSC.

According to the BSE-chapter of the animal health code of the OIE, a BSE-risk analysis has to evaluate whether potentially infected material was imported, and, in such a case, whether the conditions in the country were/are sufficient to cope with potentially infected material, i.e. to prevent the disease being propagated. This is, indeed, exactly the objective of the SSC-method.

The OIE's list of factors that should be taken into account when analysing the BSE-risk includes:

- importation of meat-and-bone meal (MBM) or greaves potentially contaminated with a transmissible spongiform encephalopathy (TSE) or feedstuffs containing either; (note: MBM-imports are a very important part of the external challenge which is assumed by the SSC to be the only initial source (except in the UK). Due to lack of data the SSC currently did not take account of greaves or feedstuff-imports);
- importation of animals, embryos or ova potentially infected with a TSE; (note: while animal imports are an essential element of the external challenge

assessment, the SSC does not take account of embryos or ova as the risk of transmitting the disease via these routes is regarded to be insignificant in comparison to the import of MBM and infected live cattle);

- consumption by cattle of MBM or greaves of ruminant origin; (note: the use of MBM is a central point of the SSC-assessment and greaves, and bone meal have been addressed whenever data were differentiated enough to allow for this);
- origin of animal waste, the parameters of the rendering processes and the methods of animal feed production; (note: this is one of the central points of the SSC-method, determining the stability of the system It is covered under the headings SRM-ban, rendering, and cross-contamination in the reports);
- epidemiological situation concerning all animal TSE in the country or zone; (note: the SSC does not take account of other animal TSEs because (a) the available data were very poor and (b) the link with BSE is not scientifically established, even for scrapie); and
- extent of knowledge of the population structure of cattle, sheep and goats in the country or zone. (note: while the information on the population structure – and dynamics- of the cattle population is taken account of, the information on small ruminants is, for the time being, not considered by the SSC).

The OIE also requests that the following measures, and their date of effective implementation (“relevant period of time”), be considered when determining the BSE- status. The SSC-method, however, considers them together with the other risk factors:

- compulsory notification and investigation of all cattle showing clinical signs compatible with BSE; (*note: this factor is taken into account in the SSC-methodology when assessing the capacity of the system to identify clinical BSE-cases and to eliminate animals at risk of being infected before processing*);
- a BSE surveillance and monitoring system with emphasis on risks identified; (*note: also taken into account by the SSC when assessing the BSE-surveillance and when assessing the compliance with the feed and SRM bans*);
- an on-going education programme for veterinarians, farmers, and workers involved in transportation, marketing and slaughter of cattle, so as to encourage reporting of all cases of neurological disease in adult cattle; (*note: this is an integral part of the SSC-assessment of the surveillance system*);
- examination in an approved laboratory of brain or other tissues collected within the framework of the aforementioned surveillance system; (*note: again taken into account by the SSC in the context of the surveillance assessment*);
- treatment of at-risk animals linked to confirmed cases (culling) (*note: covered by the SSC as a separate point contributing to the ability of the system to identify clinical cases and to eliminate at risk animals*).

From the above it is clear that there is a close similarity between the relevant factors identified by OIE and those being used by the SSC to assess the GBR.

The SSC provides a detailed methodology for assessing the geographical BSE-risk, taking account of all relevant factors, including those listed in the BSE-chapter of the International Animal Health Code of the OIE. The SSC method also involves an external review of the GBR on the basis of information provided by countries

and, in view of the long incubation period of the disease and its initially probably slow progress, it tries to cover the last twenty years. As it is based on a prescribed model of the dynamics of the BSE-disease, this methodology can be applied consistently and transparently to available information. The application of the principle of reasonable worst case assumptions and special care to ensure consistency of these assumptions allows a reasonable estimation of the GBR even in cases where the available information is not fully satisfactory.

3. IMPLICATION OF THE GBR ON FOOD AND FEED SAFETY

From the definition of the GBR (see section 2.1) it is clear that it refers to the risk situation at the live-animal level.

At a given GBR the risk that food or feed is contaminated with the BSE-agent, depends on three main factors:

1. the likelihood that bovines infected with BSE are processed;
2. the amount and distribution of infectivity in BSE-infected cattle at slaughter;
3. the ways in which the various tissues that contain infectivity are used.

In addition the trading of potentially contaminated foods and feeds also influences this risk.

3.1 LIKELIHOOD THAT BOVINES INFECTED WITH BSE ARE PROCESSED

The likelihood that processed bovines are infected with BSE (processing risk) depends obviously on the GBR. However, the processing risk may differ for different cattle sub-populations, defined on the basis of criteria such as herd history, feeding history, date of birth in relation to identified challenges.²⁵

If the difference in processing risk of different sub-populations is known, excluding those that carry a higher specific processing risk would reduce the overall processing risk below the level that is indicated by the overall GBR.

This is for example possible by excluding birth cohorts born before an effective MBM-ban from slaughter²⁶. The exclusion of fallen-stock (in particular adult cattle) from rendering also reduces the processing risk. Ensuring that as many as possible of the infected (clinically and pre-clinically) cattle are excluded from processing also reduces the processing risk. The quality of the BSE-surveillance and the related measures (culling) are essential in this context.

²⁵ See, for example the SSC opinion on “closed herds”, or on the “Date based export scheme” for criteria that are used to define sub-populations with a much lower BSE-risk.

²⁶ The Date based export scheme, excluding animals born in the UK before the ultimate MBM ban of 01/8/1996 from export, is an example for the application of this principle.

3.2 AMOUNT AND DISTRIBUTION OF INFECTIVITY IN BSE ANIMALS

3.21 Amount

The amount of infectivity carried by an infected animal strongly depends on the incubation stage it is in. Assuming that most infection happen close to birth, the age of an animal is a good approximation of the potentially possible incubation stage and hence its infective load.

For instance, the infective load of animals below 24 months of age is in general very much lower than it would be possible for an animal of 60 months, assuming that both were infected shortly after birth.

Reducing the age at slaughter can hence reduce the infective load that potentially could enter the human food chain. Excluding older animals from rendering would have a similar effect on the feed chain.

The OTMS (Over Thirty Months Scheme) that excludes in the UK all animals older than 30 months from the human food and animal feed chain makes use of this effect. As, in the meantime, all animals that are allowed to be processed are also born after the latest MBM-ban (01/08/1996), it can be assumed that the combined effect of the OTMS and the feed-ban very effectively reduces the processing risk below the level expected from the current GBR (level IV).

3.22 Distribution

It is known that in an infected cattle that is approaching the end of the incubation period, the BSE infectivity is very unequally distributed. Certain tissues (the so-called SRM – Specified Risk Material) represent a particularly high risk. Their exclusion from further use (food or feed) reduces the infective load that could enter the respective chains. (See also the opinion of the SSC on SRM of Dec. 1997).

3.3 USE OF THE VARIOUS ORGANS AND TISSUES FROM BSE-ANIMALS

Each tissue/organ of a bovine can be used for a range of uses. Some of them require processing that is known to be capable to reduce BSE-infectivity.

The SSC has expressed its opinion on the production of gelatine, tallow, MBM, and a range of other bovine based products that may be used for food, feed or non-food/feed purposes. It has defined the conditions that have to be met to achieve maximal BSE-infectivity reduction and/or the BSE-infectivity reduction that can be expected from the normally applied/applicable processes. It has also included into these conditions considerations of the BSE-risk carried by the raw material with regard to tissues and the geographical origin of the animals.

With regard to process conditions it has been shown that some reduce BSE-infectivity²⁷, others (e.g. normal cooking, sub-standard rendering) have no measurable impact on it.

4. CONCLUSION

The assessment clearly shows that the current GBRs reflect, more than anything else, differences among the commercial and agricultural practices existing between the early 80s and the early 90s, a time when knowledge on BSE, and its public health impact, was very limited. Since then, however, the awareness has tremendously increased and effective measures have been put in place to minimise the impact of BSE on public health.

In fact, at a given GBR, the risk of humans or animals to be exposed to the BSE-agent can be influenced by measures

- before slaughter, that exclude at-risk animals (such as fallen-stock²⁸) and/or reduce their age at processing;
- during slaughter by excluding SRM from further processing,
- after slaughter by applying appropriate processes, able to reduce BSE-infectivity.

These measures might also be modulated in view of the intended end use of the meat or other bovine derived products. If control can be ensured, products that are only used for non-food/non-feed uses (also called industrial uses) could carry a higher risk than food or feed products. The SSC has the intention to address this issue in more detail in a specific opinion.

²⁷ See the various SSC-opinions on the safety of Gelatine, Tallow, MBM, Hydrolysed proteins, Fertilisers, etc.

²⁸ See the opinion of the SSC on “fallen-stock”

PART II

REPORT ON THE ASSESSMENT OF THE
GEOGRAPHICAL BSE RISK OF
THE UNITED KINGDOM

EXECUTIVE SUMMARY

OVERALL ASSESSMENT

The current geographical BSE-risk (GBR) level is IV: BSE is confirmed in domestic cattle at a higher level.

The observed incidence of clinical cases over the last 12 months (16 June 1999-15 June 2000) was 430.2 per Million adult cattle. This figure is generated by a passive surveillance system that is not able to identify all cases. It includes private submissions and cases that were discovered in the context of a survey.

Stability: The system was extremely unstable before 1988. The implementation of a ruminant MBM ban and mandatory notification of BSE in 1988 improved the situation but the system remained very unstable. The first SRM ban excluding SRM from the human food chain but including it into rendering made the system again somewhat more unstable but this was rectified in 1990. The enforcement of the 1990 SRM ban finally resulted in a stable system, able to slowly reduce the BSE-infectivity circulating in the system. In 1996 feeding MBM to all farm animals was prohibited and animals over thirty months of age were excluded from any processing. Together this feed ban and the Over Thirty Months Scheme (OTMS) have significantly improved the stability of the system, which is regarded to be very stable since 1996. Since 1999 since all animals allowed to be processed were born after 01/08/96 and supported by the improved cattle tracing system and the surveillance of high risk populations (OTMS-survey in 1999) the system is optimally stable, even if the rendering is still not according to standard.

External challenge: Given the fact that the BSE epidemic most likely started in UK the external challenge concept is not applicable to this country.

Interaction between stability and challenge: The discovery of the first BSE-cases in 1986 and rapid increase in incidence thereafter indicates a high domestic prevalence in the UK cattle herd early in the 80s. Between 1985 and 1988 a very high (internal) challenge was amplified by an extremely unstable system and quickly developed into an extremely high internal challenge as reflected in the incidence figures. The measures taken in 88/89 and 90 increased the stability of the system but the challenge remained extremely high until today, albeit decreasing since 1990, when the system became stable, i.e. able to slowly reduce BSE infectivity circulating in the system. This is also reflected in the incidence figures that continuously decrease since 1993. The drastic measures taken in 1996 can be assumed to have reduced prevalence in the birth cohorts after August 1996 to very low or negligible levels. However, this depends on the efficiency of these measures.

Assuming that measures in place continue to be appropriately implemented and no external challenge occurs the GBR will decrease over time. However, clinical incidence, resulting from transfer via feed, will continue to be observed until the pre-1996 birth-cohorts have left the system.²⁹

²⁹ BSE-cases born after 1/8/96 would indicate either a breach in the feed-ban or maternal transmission, a route of transmission not taken into account in this assessment.

JUSTIFICATION

1. Available data

- The information provided in the dossier and by additional sources was sufficient to carry out the assessment.

2. Stability

2.1 Ability to identify BSE-cases and to eliminate animals at risk of being infected before they are processed

- Before 1988 the system was unlikely to identify and eliminate BSE-infected animals before processing.
- This ability increased after the disease became officially notifiable in 1988 and as laboratories and veterinarians gained more experience in disease diagnosis.
- The OTMS, introduced in 1996 was effective in excluding animals close to the end of the incubation period from processing. The OTMS survey of 1999 confirmed this.

2.2 Ability to avoid recycling BSE-infectivity should it enter processing

- The rendering systems in the UK have not conformed to standard (133/20/3) since the 70s. BSE-infectivity that entered rendering would have reached MBM.
- Before 1988 feeding of MBM to cattle was common. Hence BSE infectivity would have been recycled.
- The 1988 MBM ban reduced the risk that MBM was fed to but until 1996 significant cross-contamination made the feed-ban less effective.
- The SBO-ban of 11/89 may have temporarily increased the amount of infectivity entering the animal feed chain as, until late 1990, SRM historically destined for human food products was diverted into the animal feed chain. From late 1990 onwards SRM were disposed of. Hence the amount of BSE-infectivity entering the feed chain was significantly reduced. In combination with the (incomplete) MBM-ban this led to a significant decline in the epidemic, which became visible after 1993.
- Since 1996 recycling of BSE infectivity is unlikely to occur because a series of combined measures: MBM ban from all farmed animal feed, Feed Recall Scheme, OTMS.
- However, until today MBM is produced from potentially infected bovine material (SRM, OTMS) under sub-optimal rendering conditions. This represents a potential risk that, at present is controlled by the total feed ban. If cross-contamination should again occur due to, for example, allowing MBM to be used in feeds for other farmed animals, the risk of a new epidemic would increase significantly.

2.3 Overall assessment of the stability

- The UK BSE/cattle system was extremely unstable before 1988. Stability improved in 1988/89/90 after introduction of certain risk management measures (first MBM-ban, SBO/SRM ban).
- In 1990 the system became stable.

- Since 1996 the system is regarded to be very stable because of a highly effective MMBM-ban, excluding it from feed for all farmed animals, in combination with the OTMS & the SRM-ban.
- However, MBM is still produced under sub-optimal rendering conditions from high-risk bovine materials. It represents a potential threat. Even small breaches would re-spark the epidemic.

3. Assessment of the challenges to the system

- From the early 1980s the UK BSE/cattle system was increasingly challenged as indicated by the incidence figures.
- The internal challenge (domestic prevalence) was extremely high from the mid-1980s. This was greatest at 5 years or one incubation period before the peak in clinical incidence in 1992/1993; it has decreased since then.
- Despite the fact that the challenge has been decreasing since 1988-89 it remains extremely high as indicated by the incidence of new clinical cases.

4. Conclusion on the resulting risk

4.1 Interaction of stability and challenge

- Between 1980 and 1988 a very high (internal) challenge was amplified by an extremely unstable system and developed into an extremely high challenge.
- The measures taken in 88, 89 and 90 increased the stability of the system and the challenge began to decrease. This trend continued since then, as documented in the incidence figures.
- Since 1996 the system is regarded to be very stable and since 1998 optimally stable but as rendering is still not according to standard this assessment is dependent on the continuing full compliance with the combined measures of the total MBM-ban, the SRM-ban and the OTMS.
- Even if this optimal stability should be decreasing the circulating BSE-infectivity rather fast, the challenge is still extremely high in comparison to any other BSE affected country and it will take some more time until it reaches comparative levels.

4.2 Risk that BSE-infectivity enters processing

- The risk of infected animals entering feed processing was highest in the late 1980s and early 1990s, when the prevalence peaked some years before the peak of the incidence.
- The risk gradually declined until 1996 but remained very high.
- The OTMS, introduced in 1996, reduced the processing risk very significantly because cattle entering processing at an age below 30 months are unlikely to approach the end of the incubation period, even if infected at birth. Research shows that such animals carry significantly lower infective loads.
- If the MBM ban of 1996 is fully effective, as assumed, the combination of this, the SRM-ban and the OTMS should have reduced the processing risk to near zero by 1999, when all animals eligible for processing were born after the total feed ban. This is providing OTMS material, which is “processed” into MBM for incineration, continues to remain in secure channels.

4.3 Risk that BSE-infectivity is recycled and propagated

- The risk of recycling BSE-infectivity and propagating the disease was extremely high throughout the 1980s.
- It was reduced by the measures introduced in 1988/89/90 but remained significant, as demonstrated by the many BSE-cases born after this period (10,644 after 1990).
- The measures in 1996 drastically reduced the propagation risk. From 1.8.1996, the propagation risk is judged to be extremely low, assuming 100% compliance with the 1996 MBM-ban together with a substantially reduced risk that BSE-infectivity enters the feed chain due to the SRM ban and the OTMS.
- Since February 1999 this risk is again reduced because from then on all animals eligible for processing were born after 1/8/96.
- A potential risk continues to exist: Rendering is still not fully operating in accordance with the 133/20/3 standard, hence MBM produced from OTMS and SRM that is destined for incineration is likely to carry significant BSE-infectivity. It is essential that it continues to be kept secure and completely excluded from the feed chain.

5. Conclusion on the Geographical Bse-Risk (GBR)

5.1 The current GBR

The current geographical BSE-risk (GBR) level is IV: BSE is confirmed in domestic cattle at a higher level.

- The observed incidence of clinical cases over the last 12 months (16 June 1999-15 June 2000) was 430,2 per Million adult cattle. This figure is generated by a passive surveillance system that is not able to identify all cases. It includes private submissions and cases that were discovered in the context of a survey.

5.2 The expected development of the GBR

- Assuming that measures in place continue to be appropriately implemented and no external challenge occurs, the GBR will decrease over time. However, clinical incidence will continue to be observed at least until the pre-1996 birth-cohorts have left the system.
- The infectious load remaining in the cattle population is large. Any failure in risk control would be deleterious. The MBM produced from OTMS scheme that is stored awaiting incineration remains a potential source of contamination and risk.

5.3 Recommendations for influencing the future GBR

- Preventing breaches of the existing feed ban and of the OTMS is essential for the expected positive development to take place.
- Continuing the OTMS survey would allow identifying more infected animals than by passive surveillance alone. Culling offspring of these additional cases, in addition to those from normally notified cases, could further reduce the risk of maternal transmission. However, the effect might be insignificant.
- With decreasing prevalence it will become increasingly important to have surveillance ensuring that even very low incidence can be detected. This is also important in the context of verifying that the cohorts born after 1.8.96 have not been exposed to the agent. Given the limitations of currently available methods

for identifying BSE the chance of identifying cases born after July 1996 increases with the age of the animals.

- Improvements in the rendering system towards full compliance with EU standards would provide an additional safeguard.

FULL REPORT

1. AVAILABLE DATA

1.1 Consistency, completeness and treatment of gaps in the available data

- The information provided in the dossier and by the additional sources was largely complete and consistent. Extrapolation, interpolation and realistic worst case assumptions were used to bridge gaps that could otherwise not be dealt with.

1.2 Sources of information used

- Information from the country authorities and the country expert.
- Veterinary inspection reports from 1997 and 1998.
- Commission communication to the Parliament, Nov. 98.
- MAFF Bulletin 98/272 of July 1999 reporting results of the OTMS survey.
- Annual report on TSE-surveillance in accordance with 98/272/EC, 1998
- BSE: Measures taken by the UK. Report for the month to the end of December, 1999 and January, 2000.
- Hart, R.J., Church, P.N., Kempster; A.J. 1997. Audit of bovine and ovine slaughter and by-products sector (Ruminants Products Audit). Prepared for MAFF. 42 pages + annexes.

1.3 Recommendations for improving the basis for assessing the GBR.

- Results from a targeted active surveillance would improve the basis for the assessment of the GBR and its trend. Such an surveillance should target non-suspect cattle in high-risk populations such as adult (>24 months) fallen dairy stock and cattle presented for emergency slaughter, in particular born after 1/8/96.

1.4 Overall assessment of the suitability of the available information for the assessment

- The information available was sufficient to complete the assessment.

2. STABILITY

2.1 Ability to identify BSE-cases and to eliminate animals at risk of being infected before they are processed.

2.11 Factor 1: Population structure

2.111 Population data

- In the period from 1985 to 1997 there were 13.3 to 11.9 million cattle of which 9.5 to 7.1 were dairy cattle while 3.8 to 4.8 were beef cattle.

2.112 Age distribution of cattle, alive and at slaughter

- The average age at slaughter was approximately 5 years for adult dairy cattle; for beef cattle the age at slaughter was less than 2 years.
- With regard to the food and feed chain the OTMS reduced the age of cattle entering the food and feed chain to 30 months or less from 1996 onwards³⁰.
- For approximately 70 herds in the Beef Assurance Scheme, cattle between 30 and 42 months of age were permitted slaughtered for human consumption. The herds are BSE free according to the Scheme rules.

2.113 Husbandry systems

- In general there has been and still is a high production intensity.
- Calves were always fed concentrates.
- The dairy production was concentrated in grass regions while poultry and pork production were concentrated in grain growing regions. *Note: the dairy herds in the grain growing regions were seen to carry a higher risk of being exposed to the BSE-agent via pig or poultry feed accidentally fed to them.*

2.114 Cattle identification and monitoring system

- In Northern Ireland record keeping for individual cattle commenced in July 1988.
- In the Great Britain the cattle passport system was installed in 1996.
- Even if not fully efficient it should have improved the situation to some extent before the computerised central identification system was established in Sept. 1998.

2.12 Factor 6: Surveillance

2.121 Description of the surveillance system and its development over time

- 21/6/1988: BSE became a notifiable disease in Great Britain.
- Nov/1988: BSE became notifiable in Northern Ireland.
- 15/10/90: Breeding and movement records to be kept by farmers for 10 years.
- Dec. 1992: Scrapie became notifiable in Northern Ireland.
- 01/01/1993: Scrapie became notifiable in Great Britain.
- 30/01/95: Identification and registration of bovine animals and movement records to be kept. (1990 order revoked and replaced.)
- 04/04/96: Cattle Identification Documents to identify age of animals.
- 29/04/96: Cattle Identification Documents to identify age of animals, which were not issued with a national identification document (the latter were only issued to traded male cattle.)
- 01/07/96: Cattle Passport for all cattle born from 01/07/96 onwards.
- 30/06/97: Campaign to remind farmers of the compulsory registration of cattle under the cattle passport system.
- 22/08/97: Cattle Identification Enforcement Regulations.
- 15/04/98: Cattle Identification Regulation 1998 – Cattle born after 1/1/98 have to have MAFF approved ear tag in each ear and a cattle passport.
- 28/09/98: Launch of the cattle tracing system, announced on 31/07/97.

³⁰ The OTMS should reduce the average age of cattle slaughtered for human consumption to below three years. Even more important it should reduce the fraction of older animals being processed to zero.

- 1998 onwards: surveillance of high-risk populations
- 1999: targeted surveillance in OTMS cattle (older than 5 years). 3.951 brain samples were histopathologically examined and 18 (0.455 %) were found positive. As the target population is 177,000 cattle, the OTMS efficiently excludes about 797 cattle from processing that are approaching the end of the incubation period and would normally have left the system undiscovered.

2.122 Quality of the surveillance system with regard to BSE

- BSE surveillance started in the mid-1980s but the disease became officially notifiable only in 1988. Since then BSE-surveillance improved over time as laboratories and veterinarians gained experience and with improved traceback capabilities. However, it remained passive, i.e. depending on notification of suspects.
- The introduction of cattle identification documents (1995/96) and of the cattle passports (1996) improved the trace-back ability, in particular after the 1997 campaign to remind farmers of the passport.
- Since September 1998, when the computerised central registration was implemented, back-tracing of animals should be generally possible.
- Laboratory practice is excellent, CVL Weybridge being the reference laboratory for BSE diagnostics.
- In 1999 an active screening of over five year old OTMS-cattle has been carried out which demonstrated that such an action would significantly increase the fraction of clinical BSE-cases that is discovered and hence improve the quality of the surveillance.

2.13 Factor 8: Culling

- Cases were culled from 1988.
 - There has been no culling of herds in which a BSE case was found.
 - A culling of specific cohort has been carried out since 1997 on cattle borne between July 1989 and June 1993; this was the period of highest incidence. It has been justified as the culled cohorts were heavily exposed and thus have an increased probability of including infected animals.
 - Offspring cull: in an attempt to further reduce the risk in the post-1996 cohorts, a compulsory cull of offspring born on or after 1 August 1996 to BSE cases confirmed before 25 November 1998 has been executed. It also covers the offspring of the 18 BSE positive animals found in the OTMS survey.
 - The OTMS efficiently excludes clinical BSE-cases and pre-clinical cases approaching the end of the incubation period from entering the food or feed chain. Its efficiency has been recently demonstrated by the OTMS survey.
- 2.14 Overall appreciation of the ability to identify BSE-cases and to eliminate animals at risk of being infected before they are processed

2.14 Ability to identify BSE-cases and to eliminate animals at risk of being infected before they are processed

- Before 1988, the system was unlikely to identify and eliminate BSE-infected animals in substantial numbers before they were processed.
- This ability improved after the disease became officially notifiable in 1988 and as laboratories and veterinarians gained more experience in diagnosing the disease.

- The OTMS, introduced in 1996, is effectively excluding animals close to the end of the incubation period from processing. This was confirmed by the OTMS survey of 1999.

2.2 Ability to avoid recycling BSE-infectivity, should it enter processing.

2.21 Factors 3 and 4: Domestic MBM production and use

2.211 Domestic production of MBM

- Figures on domestic production of MBM were not clear in the dossier.
- From 1980 to 1991 the production of all animal products (fishmeal, meat-, bone-, blood- and feather- meals and all other animal by-products, except fat) was in the order of magnitude of 430,000 to 490,000 tons p.a. The same order of magnitude was reached in 1992-1995. During 1992-95 about 300,000 tonnes of MBM were produced per year.

2.212 Description and history of feed ban(s) and their compliance

- 18/7/88: Ruminant to ruminant feed ban.
- 11/1/89: Prohibition to use animal protein in ruminant feed (Northern Ireland).
- 2/11/94: Prohibition to use mammalian protein in ruminant feed (UK).
- 20/3/96: Prohibition to use mammalian MBM in all farm-animal feed (UK).
- 29/3/96: Prohibition of sale or supply of mammalian MBM or feed containing it for farmed animals, incl. horses and farmed fish.
- 10/6/96: Feed Recall Scheme.
- 08/96: Feed Recall Scheme completed; 10.000 tons stored until safe disposal.
- 1/8/96: Prohibition on the possession of MBM on premises where livestock feeding stuffs were kept, disposal and recall of MBM, cleansing and disinfecting of places, vehicles and equipment where MBM had been produced, stored or used.
- 24/9/96: Exceptions from record keeping re MBM for retail sale of fertilisers and in relation to sale of MBM products at feed mills.

Compliance:

- The ruminant to ruminant MBM ban in 1988 was incompletely complied with, as reported results of feed audits and BSE-cases born after the ban suggested. Until 1995/96 it probably reduced the infectious load in feed by 70-80%.
- The feed ban of 1996 is assumed to have been very effective, in particular after 1/8/96. There was no reason to doubt this assumption.

2.213 Use of MBM (before and after feed ban)

- Before 1988 feeding of MBM to cattle was common practice. A significant part of the domestic production entered bovine feed. It was not only used for adult cattle but also in calf-starters.
- At that time the UK was a net importer of MBM.
- After the ruminant to ruminant ban in 1988, cattle could still be fed non-ruminant protein.
- After 1988 the total domestic consumption decreased significantly but in 1996 and 1997, 48,700 and 1,900 tons of MBM were still used annually. As the 20/3/1996 ban prohibits the feeding of MMBM to **any** farmed animals the assumed outlet in 1997 is pet-food.

- Currently around 150,000 tonnes of MBM are disposed of in landfills each year and OTMS derived MBM is destined to be destroyed by incineration only (at present it is stored).

2.22 Factor 5: SRM ban and treatment of SRM

2.221 Description and history of SRM³¹ bans

- 13/11/89: First SBO ban for human consumption applied to animals over 6 months of age in England and Wales.
- 30/01/90: Similar SBO ban for human consumption also in Scotland and Northern Ireland
- 30/03/90: Export ban for SBO (for uses other than for human consumption).
- 25/09/90: Use of SBO in any feed (incl. pet feed) banned.
- 10/07/91: Control of exports to third countries of SBO and feed stuff containing SBO.
- 06/11/91: Ban of use of SBO in fertiliser.
- 30/06/94: Voluntary extension of SBO-ban
- 02/11/94: The Bovine Offal Regulations SI 1994/2628 extended the controls to include thymus and intestines of all bovine animals, except those under two months that have died.
- 01/04/95: Removal, in cutting premises, of spinal cord from bovines over 6 months. Removal and collection of apparent nervous tissue. Staining of SBO by Patent Blue V prior to dispatch to an approved rendering plant. Non-SRM waste is stained black and must be transported separately to a plant that is approved to process it.
- 15/08/95: Improved controls on record keeping, dedicated rendering lines for SBO. Also prohibition of removing brains and eyes so that the whole skull must be disposed as SBO. Finally prohibition of removing the spinal cord from the vertebral column except in slaughterhouses.
- 29/03/96: Whole head except tongue, spinal cord and spleen of cattle greater than 6 months old and thymus and intestines of cattle of all ages designated as SBO.
- 01/05/96: Enforcement measures.
- 26/07/96: Extended controls on SBM.
- 24/01/97: Provisions for equipment previously used for rendering SBM to be used for other purposes following a specified cleansing regime.
- 28/03/97: Regulation of use of SBM in pharmaceutical, cosmetic, or medicinal products; staining of SBM; definition of premises that could use SBM for manufacturing purposes.
- 01/01/98: The Specified Risk Material Regulations provided for controls on specific risk materials, continuing the provisions on food in the SBM Order 1997 and the "Heads of Sheep and Goats" Order 1996.
- Currently: whole head except tongue, including skull, brain, eyes, tonsils, TRG, other tissues, spinal cord and spleen from bovines over 6 months old, thymus and the entire intestines from bovines of all ages.

³¹ In the UK regulation, the terms Specified Bovine Offals (SBO) and Specified Bovine Material (SBM) was used in addition to the term Specified Risk Material (SRM), the latter referring to all farm-ruminants.

Assumed compliance and its development over time:

- There was no information on the compliance with the first SBO-bans.
- The fact that an improved staining of the SBO was introduced in 1995, and again in 1997, points to certain, not specified, concern about compliance.
- The centralised meat hygiene service (MHS), which was also introduced in 1995, is thought to have contributed to a better compliance with the ban.

2.222 Fate of SRMs

- 11/89-9/90 SBO removed from food chain and put into feed.
- Since 9/90 SRMs are excluded from any food or feed. It is specifically rendered and safely disposed of (incinerated).

2.23 Factor 7: Rendering

2.231 Raw material used for rendering

- Until 9/90 offal from slaughter, fallen stock and other condemned animals, including SBO.
- From 11/89 to 9/90 SBO that previously would have entered human food was rendered.
- After 9/90 SBO were excluded from rendering, but not fallen stock.
- Since 1996, rendering of OTMS animals is exclusively for subsequent destruction. SRM from younger animals is rendered together with the OTMS-cattle.
- 140,000 to 180,000 tons of fallen stock are produced yearly and some 60,000 tons going to the rendering industry via knackers'-yards.
- Fallen stock usually originates from farms and enters the processing industry via knackeries or hunt-kennels. SRM-removal and staining is required on arrival at these premises. This also includes the vertebral column. In many knackeries and hunt-kennels incinerators were built for SRM disposal.

2.232 Rendering processes

- In the 70s the UK rendering industry modified their processes (continuous instead of batch, shorter time, lower pressure) in order to save energy. The modifications included the abandonment of solvent extraction.
- The larger processors are still using continuous processing (likely not to correspond to the 133/20/3 standard), while smaller processors use batch type but at undefined conditions. The use of the Carver-Greenfield process, that was shown not to be able to inactivate the BSE-agent, stopped in 1995.
- The 133/20/3 standard was not implemented in the UK because at that time (1996) the rendering products were not allowed to be fed to food-producing animals.
- Rendering plants in Northern Ireland comply with the 133/20/3 condition.

2.223 Capacity of the rendering system to reduce any potential BSE-infectivity in the raw material.

- Given the insufficient time/temperature/pressure conditions, under which the UK rendering system operated and still operates, the produced MBM is likely to carry significant fractions if not all of the BSE-infectivity in the raw material being rendered.

2.24 Cross-contamination

2.241 Possible types of cross-contamination

- Cross-contamination was found to appear at all parts of the feed chain, in rendering plants, in feed mills, during transport, and on farms.
- Of 21 rendering plants which process mammalian waste, 13 do not handle SRM or OTMS, one is dedicated to SRM, two to OTMS, one handles separately SRM/OTMS, three handle separately SRM and standard material, and one handles separately OTMS/standard material.
- Use of MBM in pig, poultry and fish feed was permitted until 1996. This led to a rather significant potential for both in-mill, during transport and on-farm cross-contamination.

2.242 Measures undertaken to control cross-contamination

- Extensive feed control programs including testing have been carried out that confirmed the occurrence of significant cross-contamination.
- The purpose of the complete prohibition of feeding MMBM to farmed animals was at least in part to prevent cross-contamination. The feed recall scheme also removed potentially cross-contaminated feed.
- However, systematic surveillance of feed was not conducted until after the total feed ban was introduced in 1996.

2.243 Assessment of the potential level of cross-contamination

- As indicated by the high number of BSE-cases born after the first feed ban, and by positive feed samples, cross-contamination was a significant problem until the feed recall exercise and until feeding MBM to **any** farmed animal was finally forbidden in 1996.

2.25 Overall appreciation of the ability to avoid recycling BSE-infectivity, should it enter processing

- Until 1988 BSE infectivity entering the feed chain was most likely recycled to cattle.
- The feed ban of 1988 and the SBO ban of 1990 made it less likely but did obviously not stop it. Reasons were the still inappropriate rendering, the insufficient compliance with the measures taken and significant levels of cross-contamination.
- Since 1/8/96 recycling of BSE infectivity is assumed to have stopped, depending on 100% compliance with the feed ban and supported by the existing SRM-ban and the OTMS.
- The rendering is still inappropriate and produces potentially contaminated MBM as long as BSE-infected material is processed. Excluding this MBM also in future from circulation is of critical importance.

2.3 Overall assessment of the stability

- Before 1988 the UK BSE/cattle system was extremely unstable.
- The MBM ban of 1988 together with the SBO ban of 9/90 made the system stable, i.e. able to slowly reduce the circulating BSE infectivity.
- Since 1996 the system was very stable because of the total MBM-ban and a highly efficient exclusion of potential infectivity from feed processing by the OTMS and the SRM-ban.
- Since February 1999, since all animals allowed to be processed were born after 01/08/96, the system is optimally stable. This development was supported by the

improved back-tracing capability of the animal identification and monitoring system (1996/97/98) and the OTMS survey, carried out in spring 1999.

- However, MBM produced for incineration under sub-optimal rendering conditions from material carrying a high risk of being contaminated (SRM, OTMS) represents a potential risk, which for the time being is controlled by the total feed ban. If cross-contamination would become possible, e.g. by again allowing feeding MBM to other farmed animals, a significant risk of re-sparking the epidemic is seen.

3. CHALLENGES

3.1 External challenge resulting from importing BSE-infectivity

3.11 Factor 2: Import of live cattle

- The import of live animals did not seem to influence the risk experienced in the UK during 1985-1999, even if some imported cattle subsequently came down with BSE.

3.12 Factor 3: Import of MBM or feed containing MBM

- This factor was not considered relevant for UK during 1985-1999.

3.2 Internal challenge resulting from domestic infected animals.

3.21 Interaction of external challenges and stability

- In view of the insignificant external challenge this aspect is not relevant for the UK, the development of the domestic prevalence was clearly driven by the internal challenge and its interaction with the systems (very low) stability.

3.22 Assumed development of the domestic prevalence

- As indicated by the earliest BSE cases an internal challenge existed in the UK already in the late 70s or early 80s. This assumption is supported by the fact that the earliest birth cohort, in which later on one case was discovered, was 1973, and several cases were born in the late 70s. This challenge was strongly amplified by an extremely unstable system - and that feed-back loop continued to function uncontrolled until 1988, when the first effective measures were taken. The epidemic curve (confirmed cases per year by date of restriction, see figure 1 below) clearly reflects this pattern, with a delay of roughly one incubation period (about 5 years).
- The efficiency of the current risk control measures, i.e. the SRM ban (1990), the OTMS scheme (1996), and in particular the total MBM ban (1996), will be reflected in the BSE incidence eventually observed in the cattle cohorts borne thereafter. The current prevalence in these cohorts should be zero if the measures function as expected (the impact of maternal transmission was not taken into account in this assessment).
- Given the expected stepwise drastic decrease in domestic prevalence in the post-96 birth cohorts the overall prevalence started to decline at that time following the pace at which previously infected animals leave the system.

- Despite the fact that the challenge has been decreasing since 1988/89 it is still extremely high as demonstrated by the reported incidence of clinical cases.

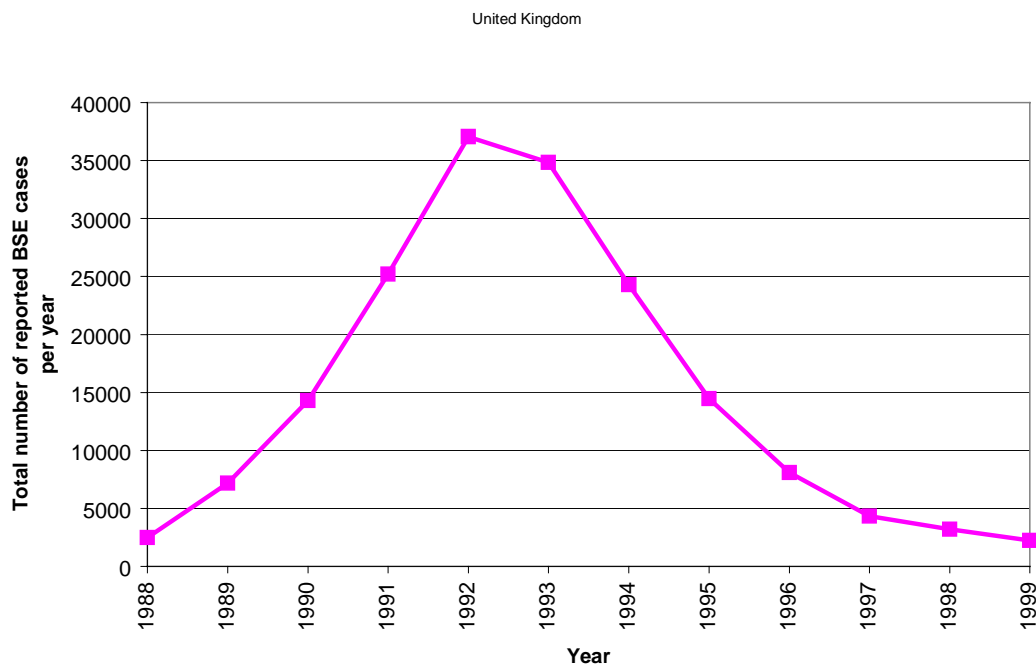


Figure 1: Observed number of clinical BSE cases per year (1989 – 1999). The epidemic peaked in 1992, the beginning decline reflecting the ruminant protein to ruminant feed ban of 1988.

3.3 Overall assessment of the combined challenges

- In view of the insignificant external challenge the overall challenge followed the internal challenge and peaked around 1988, at an extremely high level. Since then it declines but in relation to the challenge level used for this assessment it is still extremely high and will fall below this level only once the birth cohorts before 1996 have left the system.

4. CONCLUSION ON THE RESULTING RISKS

4.1 Interaction of stability and challenge

- Between 1980 and 1988 a very high (internal) challenge was amplified by an extremely unstable system and developed into an extremely high challenge.
- The measures taken in 88, 89 and 90 increased the stability of the system and the challenge began to decrease. This trend continued since then, as documented in the incidence figures.
- Since 1996 the system is regarded to be very stable and since 1998 optimally stable but as rendering is still not according to standard this assessment is dependent on the continuing full compliance with the combined measures of the total MBM-ban, the SRM-ban and the OTMS.
- Even if this optimal stability should be decreasing the circulating BSE-infectivity rather fast, the challenge is still extremely high in comparison to any

other BSE affected country and it will take some more time until it reaches comparative levels.

		Overall Challenge					
Stability	negligible	Very low	low	moderate	high	Very high	Extremely high
Optimally stable							← 99/00
Very stable							96-98
Stable							90-95
Neutral							↑
Unstable							89
Very Unstable							88
Extremely Unstable			80 - 84		85 - 87		

Figure 2: Development of stability and challenge over time.

4.2 Risk that BSE-infectivity enters processing

- The risk of infected animals entering feed processing was highest in the late 1980s and early 1990s, when the prevalence peaked some years before the peak of the incidence.
- The risk gradually declined until 1996 but remained very high.
- The OTMS, introduced in 1996, reduced the processing risk very significantly because cattle entering processing at an age below 30 months are unlikely to approach the end of the incubation period, even if infected at birth. Research shows such animals carry significantly lower infective loads.
- If the MBM ban of 1996 is fully effective, the combination of this, the SRM-ban and the OTMS should have reduced the processing risk to near zero by 1999, when all animals eligible for processing were born after the total feed ban. This is providing OTMS material, which is “processed” into MBM for incineration, continues to remain in secure channels.

4.3 Risk that BSE-infectivity is recycled and propagated

- The risk of recycling BSE-infectivity and propagating the disease was extremely high throughout the 1980s.
- It was reduced by the measures introduced in 1988/89/90 but remained significant, as demonstrated by the many BSE-cases born after this period (10,644 after 1990).
- The measures in 1996 drastically reduced the propagation risk. From 1.8.1996, the propagation risk is judged to be extremely low, assuming 100% compliance with the 1996 MBM-ban together with a substantially reduced risk that BSE-infectivity enters the feed chain due to the SRM ban and the OTMS.
- Since February 1999 this risk is again reduced because from then on all animals eligible for processing were born after 1/8/96.

- A potential risk continues to exist: Rendering is still not fully operating in accordance with the 133/20/3 standard, hence MBM produced from OTMS and SRM that is destined for incineration is likely to carry significant BSE-infectivity. It is essential that it continues to be kept secure and completely excluded from the feed chain.

5. CONCLUSION ON THE GEOGRAPHICAL BSE-RISK (GBR)

5.1 The current GBR

The current geographical BSE-risk (GBR) level is IV: BSE is confirmed in domestic cattle at a higher level.

The observed incidence of clinical cases over the last 12 months (March 1999-February 2000) was 428.4 per Million adult cattle. This figure is generated by a passive surveillance system that is not able to identify all cases. It includes private submissions and cases that were discovered in the context of a survey.

5.2 The expected development of the GBR

Assuming that measures in place continue to be appropriately implemented and no external challenge occurs, the GBR will decrease over time. However, clinical incidence will continue to be observed until the pre-1996 birth-cohorts have left the system.

The infectious load remaining in the cattle population is large. Any failure in risk control would be deleterious. The MBM produced from OTMS scheme that is stored awaiting incineration remains a potential source of contamination and risk.

5.3 Recommendations for influencing the future GBR

Preventing breaches of the existing feed ban and of the OTMS is essential for the expected positive development to take place.

Continuing the OTMS survey would allow identifying more infected animals than by passive surveillance alone. Culling offspring of these additional cases, in addition to those from normally notified cases, could further reduce the risk of maternal transmission. However, the effect might be insignificant.

With decreasing prevalence it will become increasingly important to have surveillance ensuring that even very low incidence can be detected. This is also important in the context of verifying that the cohorts born after 1.8.96 have not been exposed to the agent. Given the limitations of currently available methods for identifying BSE the chance of identifying cases born after July 1996 increases with the age of the animals.

Improvements in the rendering system towards full compliance with EU standards would provide an additional safeguard.